

# Socioeconomic and ethnic inequalities in exposure to air and noise pollution in London

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**Running title:** Inequalities in air and noise pollution

**Keywords:** air pollution, transport, noise, inequalities, personal exposure, quantile regression

**Acknowledgements:** This work was supported by the UK Natural Environment Research Council, Medical Research Council, Economic and Social Research Council, Department of Environment, Food and Rural Affairs, and Department of Health (NE/I007806/1, NE/I00789X/1, NE/I008039/1) through the cross-research council Environmental Exposures & Health Initiative. The work of the UK Small Area Health Statistics Unit is funded by Public Health England as part of the MRC-PHE Centre for Environment and Health, funded also by

29 the UK Medical Research Council. CT was funded through a Ramón y Cajal fellowship (RYC-2015-17402)

30 awarded by awarded by the Spanish Ministry of Economy and Competitiveness.

31

32 The authors declare they have no actual or potential competing financial interests.

## 33 Abstract

34 Background: Transport-related air and noise pollution, exposures linked to adverse health outcomes, varies  
35 within cities potentially resulting in exposure inequalities. Relatively little is known regarding inequalities in  
36 personal exposure to air pollution or transport-related noise.

37 Objectives: Our objectives were to quantify socioeconomic and ethnic inequalities in London in 1) air  
38 pollution exposure at residence compared to personal exposure; and 2) transport-related noise at residence  
39 from different sources.

40 Methods: We used individual-level data from the London Travel Demand Survey (n=45,079) between 2006-  
41 2010. We modeled residential (CMAQ-urban) and personal (London Hybrid Exposure Model) particulate  
42 matter <2.5 microns and nitrogen dioxide (NO<sub>2</sub>), road-traffic noise at residence (TRANEX) and identified  
43 those within 50dB noise contours of railways and Heathrow airport. We analyzed relationships between  
44 household income, area-level income deprivation and ethnicity with air and noise pollution using quantile  
45 and logistic regression.

46 Results: We observed inverse patterns in inequalities in air pollution when estimated at residence versus  
47 personal exposure with respect to household income (categorical, 8 groups). Compared to the lowest  
48 income group (< £10,000), the highest group (>£75,000) had lower residential NO<sub>2</sub> (-1.3 (95% CI -2.1, -0.6)  
49 µg/m<sup>3</sup> in the 95<sup>th</sup> exposure quantile) but higher personal NO<sub>2</sub> exposure (1.9 (95% CI 1.6, 2.3) µg/m<sup>3</sup> in the  
50 95th quantile), which was driven largely by transport mode and duration. Inequalities in residential  
51 exposure to NO<sub>2</sub> with respect to area-level deprivation were larger at lower exposure quantiles (e.g.  
52 estimate for NO<sub>2</sub> 5.1 (95% CI 4.6, 5.5) at quantile 0.15 versus 1.9 (95% CI 1.1, 2.6) at quantile 0.95), reflecting  
53 low-deprivation, high residential NO<sub>2</sub> areas in the city centre. Air pollution exposure at residence  
54 consistently overestimated personal exposure; this overestimation varied with age, household income, and  
55 area-level income deprivation. Inequalities in road traffic noise were generally small. In logistic regression  
56 models, the odds of living within a 50dB contour of aircraft noise were highest in individuals with the

57 highest household income, white ethnicity, and with the lowest area-level income deprivation. Odds of  
58 living within a 50dB contour of rail noise were 19% (95% CI 3, 37) higher for black compared to white  
59 individuals.

60 Conclusions: Socioeconomic inequalities in air pollution exposure were different for modeled residential  
61 versus personal exposure, which has important implications for environmental justice and confounding in  
62 epidemiology studies. Exposure misclassification was dependent on several factors related to health, a  
63 potential source of bias in epidemiological studies. Quantile regression revealed that socioeconomic and  
64 ethnic inequalities in air pollution are often not uniform across the exposure distribution.

65

66

## 67    **Introduction**

68    Transport-related air and noise pollution, environmental exposures linked to a range of adverse health  
69    outcomes,(Health Effects Institute, 2009; WHO Europe, 2011) varies within cities. This variation may result  
70    in exposure inequalities: different socioeconomic and ethnic groups being more exposed than  
71    others.(European Commission, 2016) Socioeconomic and ethnic inequalities in health are well  
72    established.(Shiels et al., 2017; Stringhini et al., 2017) The unequal distribution of environmental exposures  
73    may contribute to these health inequalities where exposures are higher in individuals or communities with  
74    lower socioeconomic position or in specific ethnic groups.

75    Studies from the US show a fairly consistent relationship between individuals or communities of lower  
76    socioeconomic position and increased exposure to air pollution.(Hajat et al., 2015) Evidence from Europe is  
77    mixed,(Temam et al., 2017) with some studies indicating non-linear relationships or high exposures in city  
78    centres with concentrations of individuals with high socioeconomic position.(Goodman et al., 2011; Havard  
79    et al., 2009) Within Europe, areas with a high proportion of non-white residents have also been observed to  
80    have higher air pollution exposures.(Fecht et al., 2015) However, nearly all studies have considered exposure  
81    inequalities based on residential exposures, with very few examples based on personal exposure,(Jantunen  
82    et al., 2000; Rotko et al., 2001) or exposures experienced during commuting.(Rivas et al., 2017) In addition,  
83    most studies have investigated environmental inequalities at the neighborhood or area-level, while few have  
84    investigated exposure inequalities using individual-level socioeconomic or ethnicity data.(Hajat et al., 2015;  
85    Temam et al., 2017)

86    Compared to air pollution, fewer studies have investigated inequalities in transport-related noise and most  
87    have focused on road-traffic, rather than rail or aircraft noise.(European Commission, 2016) The available  
88    evidence is inconsistent. Several studies have observed positive associations between road-traffic noise and  
89    deprivation;(Dale et al., 2015; Havard et al., 2009; Nega et al., 2013) while others have observed the  
90    reverse,(Havard et al., 2011) or no association.(Halonen et al., 2015) A small number of studies in Europe  
91    have investigated the relationship between different metrics of deprivation and aircraft noise.(Huss et al.,

2010; Pelletier et al., 2013). A recent small-area study reported inequalities in environmental noise according to area-level race, racial segregation, and socioeconomic characteristics across the US, but did not differentiate between anthropogenic sources.(Casey et al., 2017)

We aim to fill this gap in the literature by considering air pollution exposure inequalities both at residence and using modeled personal exposure as well as noise exposures from multiple sources. Our objectives were to quantify socioeconomic and ethnic inequalities in 1) air pollution exposure at residence compared to personal exposure; and 2) transport-related noise at residence from different sources. Rather than focus only on inequalities in mean exposures, we consider inequalities across the full exposure distribution, providing a more complete picture of inequalities in transport-related environmental exposures than previous studies.

## **Methods**

*Study population* The study population was based on individuals who responded to the London Travel Demand Survey (LTDS), conducted by Transport for London to capture data on travel patterns and modal share.(Transport for London, 2015) The survey sampled approximately 8,000 households per year on a rolling basis and was based on a random sample of households. Data were collected through a face-to-face interview in participants' homes. Respondents were asked about their activities on the previous day and how typical this was of their normal day. Transport for London adjusted the sample for sampling weights and non-response to generate a sample representative of London overall as well as sub-regions of the city. We used LTDS data from 45,079 individuals (20,542 households) who responded to the survey between years 2006-2010, after excluding 4,969 individuals (11%) with missing residential postcode, demographic or trip (origin or destination) data (**S Table 1**).

*Air pollution data* The London Hybrid Exposure Model (LHEM) was used to estimate exposure to air pollution (particulate matter <2.5 microns [PM<sub>2.5</sub>], nitrogen dioxide [NO<sub>2</sub>]) of individuals included in the LTDS based on their residential location, trips, mode of transport, and time spent in non-residential locations between trips.

116 The model is described in detail elsewhere.(Smith et al., 2016) Briefly, trip start and end coordinates, times  
117 of trips, and transport mode are taken from the LTDS. The route between origin and destination was  
118 simulated using methods appropriate for each travel mode. Exposure to outdoor air pollution was estimated  
119 using the Community Multiscale Air Quality Modeling System (CMAQ-urban), described below.(Beevers et  
120 al., 2012) To account for penetration of outdoor air indoors, in-building exposures were estimated by  
121 applying indoor/outdoor ratios for domestic buildings estimated for each London postcode to the CMAQ-  
122 urban estimates.(Taylor et al., 2014) In-vehicle exposures were estimated in LHEM using mass-balance  
123 equations. Microenvironmental exposures for trips on the London Underground were estimated based on  
124 measured concentrations in the London or Paris metro system. Exposures while walking and cycling were  
125 estimated based on the CMAQ-urban estimates for the time and location of the trip. Although the model  
126 does not fully capture personal exposure from all sources in all microenvironments, for ease of  
127 interpretability, we refer to LHEM as an estimate of personal exposure to ambient pollution.

128 We used CMAQ-urban to predict ambient air pollution concentrations at place of residence. CMAQ-urban  
129 couples the Weather Research and Forecasting meteorological model with the Atmospheric Dispersion  
130 Modeling System roads model. We generated annual average concentrations of  $PM_{2.5}$  and  $NO_2$  for each hour  
131 of the day for the year 2011 at 20m x 20m resolution.(Taylor et al., 2014) Residential air pollution estimates  
132 are based on the 24hr mean concentration (**S-Figure 1**).

133 *Road traffic noise* Annual road traffic noise for years 2003-10 was modeled at the geometric centroid for all  
134 ~190,000 London postcodes using the TRAffic Noise EXposure (TRANEX) model.(Gulliver et al., 2015) Briefly,  
135 the model uses detailed information on traffic flows and speeds for each year, land cover, and heights of  
136 individual buildings. We used  $L_{Aeq,24hr}$  (average over the hours 0:00 to 23:59), because it covers the same time  
137 period as the residential air pollution estimates; however, Spearman correlations with other noise metrics  
138 including  $L_{night}$  and  $L_{Aeq,16hr}$  were greater than 0.99. Individuals were assigned the modeled noise levels for  
139 their postcode (approximately 12 households per postcode). Less than 1% of postcodes were outside of the  
140 TRANEX model domain and could not be linked.

141 *Rail and airport noise* We identified individuals whose residential postcode was within the 50dB noise  
 142 contours of over-ground railways and Heathrow airport. Noise contours came from strategic noise mapping  
 143 under the first round of the Environmental Noise Directive. Data for over-ground railways were from  
 144 Department for Environment, Food and Rural Affairs, supplied by Extrium Ltd. Aircraft noise from Heathrow  
 145 airport was derived from annual average contours (2001) supplied by the Civil Aviation Authority.

146 *Sociodemographic data* Self-reported age, household income, and ethnicity were available from the LTDS.  
 147 Ethnicity was collapsed into four ethnic groups: white (white – British, white – Irish, other white), Asian  
 148 (Asian or Asian British – Bangladeshi, Asian or Asian British – Indian, Asian or Asian British - other Asian  
 149 background, Asian or Asian British – Pakistani, Chinese), black (black or black British – African, black or black  
 150 British – Caribbean, black or black British - other black background), and other (mixed - white and black  
 151 Caribbean, mixed - other mixed background, mixed - white and black African, other ethnic group, mixed -  
 152 white and Asian). For purposes of comparing exposure inequalities with household income, we used Lower  
 153 Layer Super Output Area (on average 1500 people)-level deprivation data from the 2010 Index of Multiple  
 154 Deprivation (IMD), a composite measure of area-level deprivation (**S-Figure 2**). (Communities and Local  
 155 Governments, 2011) For better comparability with household income, we focused our analysis on the  
 156 income domain of IMD, which is based on the proportion of households receiving income support. Area-level  
 157 income deprivation was linked to individuals based on their residential postcode location. The distribution of  
 158 participants' ethnicity by household income and area-level income deprivation is presented in **S-Figure3**.

159 *Statistical Analysis* All regression analyses took account of the hierarchical data structure: participants  
 160 clustered within households (on average 2.2 participants per household). We explored bivariate  
 161 relationships of continuous exposures with household income, ethnicity and area-level income deprivation  
 162 with summary statistics and quantile regression. Quantile regression estimates conditional quantile  
 163 functions, i.e. models in which the quantiles of the conditional distribution of the outcome are expressed as  
 164 functions of the observed covariates. Quantile regression does not assume a distribution for the errors and is  
 165 robust to extreme observations. More importantly, it is useful to describe complex relationships where the



166 covariate effects are expected to be heterogeneous across the outcome distribution and thus associations  
167 based on the mean do not provide a complete picture. (Koenker, 2005) We used quantile regression because  
168 of the complex nature of the relationships we aimed to study and the highly skewed and heteroscedastic  
169 distributions for LHEM and TRANEX exposures. For example, estimates from the quantile regression at a  
170 given quantile of the distribution with household income as the single categorical covariate, represent the  
171 sample quantiles conditional on household income categories. We fit separate models for each exposure at  
172 0.05 quantile intervals and used bootstrapping to estimate standard errors and confidence intervals,  
173 accounting for the hierarchical data structure. We tested for the presence of spatial autocorrelation in  
174 variograms of the residuals from the quantile regressions.

175 We explored whether exposure misclassification using ambient air pollution at residence rather than  
176 personal exposure differed according to age, socioeconomic and ethnic groups. We assumed that personal  
177 exposure estimates were a closer approximation to true personal exposure and fit models to the difference  
178 between residence and personal concentration. Models included the following covariates: age, age<sup>2</sup>,  
179 ethnicity, household income, area-level income deprivation, and a random effect for household. We report  
180 exposure misclassification for variables with statistically significant associations with difference between  
181 residence and personal concentration.

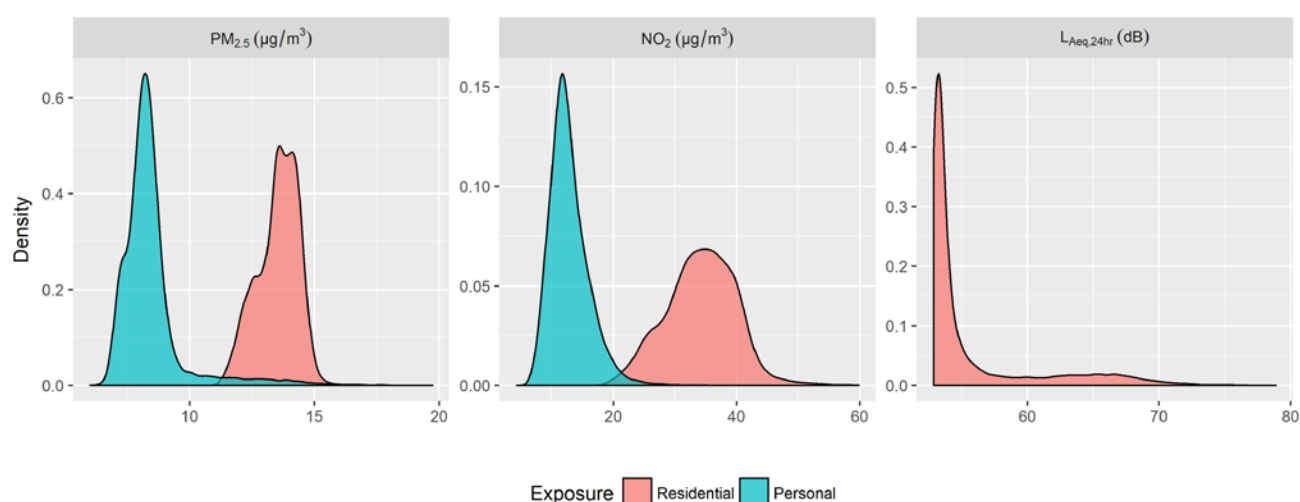
182 To explore bivariate relationships for dichotomous exposures to rail and aircraft noise, we fit logistic models  
183 with separate models for household income, ethnicity, and area-level income deprivation using  
184 bootstrapping to estimate standard errors and confidence intervals. Statistical analysis were performed with  
185 R-3.3.2,(R Core Team, 2016) including packages: *tidyverse* (data manipulation), *ggplot2* (figures), *quantreg*  
186 (quantile regression), and *lme4* (mixed models).(Koenker, 2016; Bates 2015; Wickham, 2016)

## 187 **Results**

188 The mean age of the study population was 37 years (sd 23). Distributions of residential and personal PM<sub>2.5</sub>  
189 and NO<sub>2</sub> as well as residential road traffic noise are presented in **Figure 1 and S-Table 2**. Personal exposure

190 was generally lower than ambient residential exposure for both air pollutants, largely reflecting low  
 191 penetration of outdoor air pollution indoors (Smith et al., 2016). **Table 1** presents mean air pollution, road-  
 192 traffic noise, and percentage exposed to rail or aircraft noise according to household income, individual-level  
 193 ethnicity, and area-level income deprivation (medians included in **S-Table 3**). Absolute and relative  
 194 differences between the highest and lowest mean exposures to air pollution and road traffic noise according  
 195 to household income were small and the correlations were weak (**Table 2**). Nonetheless, trends in air  
 196 pollution exposure by household income were in different directions for residential and personal exposure.  
 197 Trends in residential air pollution by household income were not monotonic; exposures generally decreased  
 198 with increasing household income except for the highest income category (**Table 1**). Exposure gradients by  
 199 area-level income deprivation were largest for NO<sub>2</sub>, which is more spatially variable than PM<sub>2.5</sub>. Participants  
 200 living in the most deprived areas had the highest exposures for residential PM<sub>2.5</sub> and NO<sub>2</sub> as well as for  
 201 personal NO<sub>2</sub>, but not for personal PM<sub>2.5</sub> or road traffic noise. Similarly, increasing household income was  
 202 only weakly correlated with lower residential air pollution, whereas increasing area-level deprivation was  
 203 more strongly correlated with higher residential air pollution. (**Table 2**).

204



205

206 **Figure 1. Probability density of residential and personal exposure to PM<sub>2.5</sub> and NO<sub>2</sub> and residential road**  
 207 **traffic noise. Values greater than 20 µg/m<sup>3</sup> for PM<sub>2.5</sub> and 60 µg/m<sup>3</sup> for NO<sub>2</sub> (<0.1% of data) removed for**  
 208 **purposes of visualization.**

209 **Table 1. Mean air pollution, road traffic noise, and percentage exposed to rail and aircraft noise by**  
210 **household income, ethnicity and area-level income deprivation**

		Residential PM <sub>2.5</sub> (µg/m <sup>3</sup> )	Personal PM <sub>2.5</sub> (µg/m <sup>3</sup> )	Residential NO <sub>2</sub> (µg/m <sup>3</sup> )	Personal NO <sub>2</sub> (µg/m <sup>3</sup> )	Residential road traffic noise (L <sub>Aeq,24hr</sub> dB)	Rail noise (%)	Heathrow noise (%)
Means	N							
<b>Income (£)</b>								
Under 10000	8,327	13.63	8.29	35.13	12.48	56.11	12.7	11.4
10000 - 14999	4,762	13.55	8.33	34.61	12.57	55.87	12.9	11.6
15000 - 19999	4,318	13.56	8.44	34.79	12.89	55.96	12.4	13.2
20000 - 24999	3,883	13.54	8.50	34.37	13.01	55.79	12.0	10.7
25000 - 34999	5,760	13.50	8.53	34.02	12.92	55.79	14.3	12.3
35000 - 49999	6,464	13.48	8.59	33.79	13.07	55.81	12.3	13.2
50000 - 74999	5,573	13.46	8.64	33.67	13.18	55.80	11.3	13.3
Over 75000	5,992	13.51	8.62	34.18	13.22	55.57	11.4	16.7
<b>Ethnicity</b>								
White	29,479	13.49	8.47	33.90	12.81	55.75	12.0	13.8
Asian	7,592	13.61	8.60	34.87	13.05	56.15	12.7	10.5
Black	5,214	13.61	8.42	35.35	13.10	55.88	13.9	11.9
Other	2,516	13.70	8.50	35.69	13.16	56.08	13.4	10.5
<b>Income deprivation quintiles</b>								
1 (least deprived)	9,782	13.30	8.40	32.33	12.41	55.62	11.1	18.0
2	8,737	13.45	8.52	33.64	12.84	55.96	12.6	14.8
3	8,146	13.57	8.54	34.51	12.98	55.91	12.1	13.9
4	9,118	13.62	8.49	35.11	13.07	55.89	11.7	10.1
5 (most deprived)	8,128	13.73	8.49	36.12	13.19	55.83	14.5	7.0

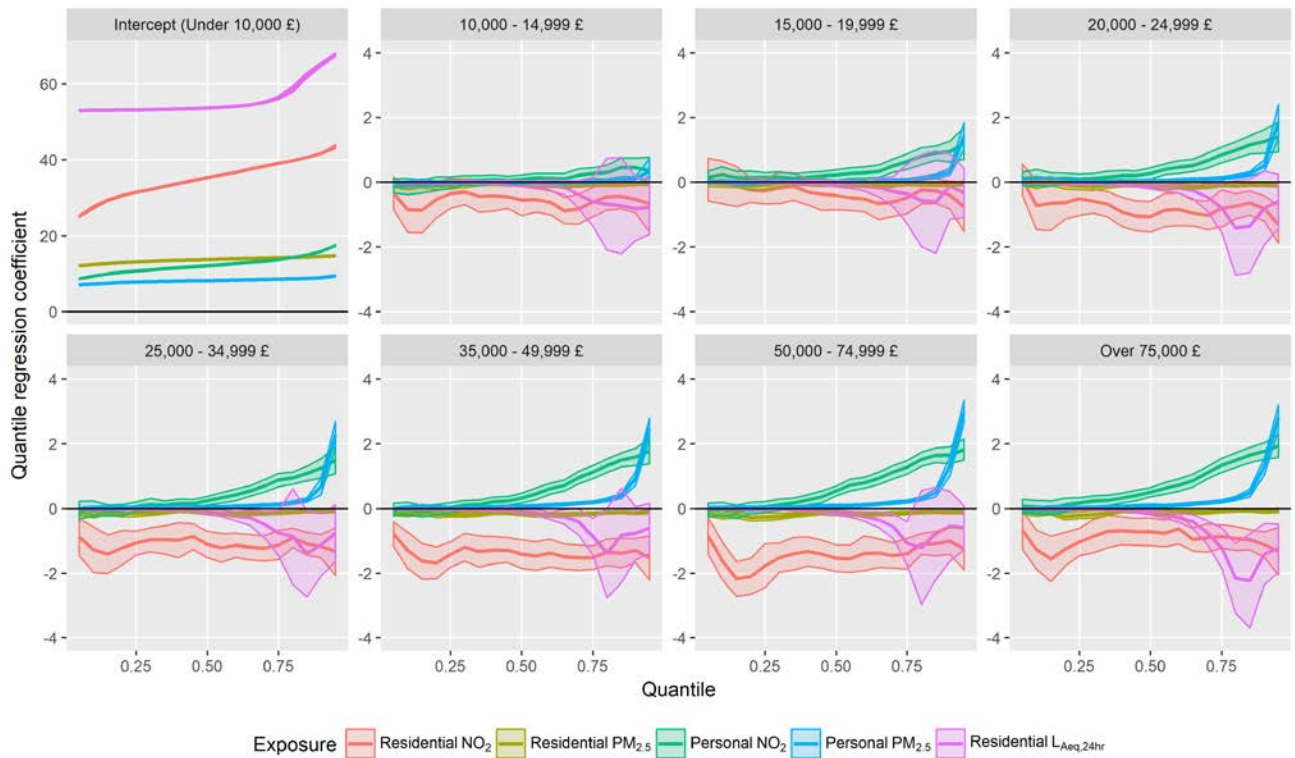
211  
212 **Table 2. Spearman correlation coefficients between air pollution and road traffic noise exposures and**  
213 **household income and area-level income deprivation**

Spearman correlation	Residential PM <sub>2.5</sub> (µg/m <sup>3</sup> )	Personal PM <sub>2.5</sub> (µg/m <sup>3</sup> )	Residential NO <sub>2</sub> (µg/m <sup>3</sup> )	Personal NO <sub>2</sub> (µg/m <sup>3</sup> )	Residential L <sub>Aeq,24hr</sub> (dB)
Household income	-0.06	0.06	-0.07	0.07	-0.03
Income deprivation	0.19	0.08	0.25	0.11	0.07

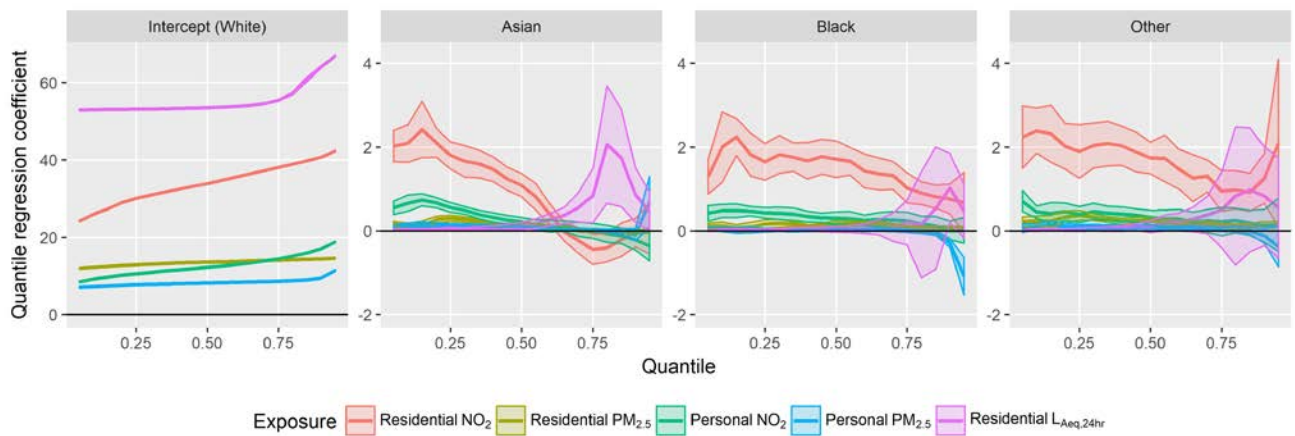
215  
216 **Figure 2(a)** presents the results of quantile regression exploring the relationship between air pollution and  
217 road traffic noise exposures with household income (models fit separately for each exposure). The intercept  
218 represents the level of exposure at each quantile (e.g. 0.05 to 0.95) of exposure among participants with

household income below £10,000. For example in this household income strata, exposure quantiles for residential NO<sub>2</sub> varied from 25.2 to 43.5 µg/m<sup>3</sup>, while quantiles for personal PM<sub>2.5</sub> varied from 7.1 to 9.5 µg/m<sup>3</sup>. For each quantile of exposure, residential NO<sub>2</sub> was approximately 1 µg/m<sup>3</sup> lower in the highest household income group relative to the lowest household income group (reference group, indicated as intercept), a difference that was statistically significant across all quantiles. Differences in residential PM<sub>2.5</sub> across income groups were small, consistent with the limited spatial variation in ambient PM<sub>2.5</sub> within the city. In contrast to residential NO<sub>2</sub>, personal NO<sub>2</sub> was greater in higher income groups compared to the reference group at exposure quantiles 0.25 and above. Personal NO<sub>2</sub> was 1.9 (95% CI 1.6; 2.3) µg/m<sup>3</sup> higher in the 0.95 exposure quantile. In other words, the difference in exposure between the highest and lowest household income group did not depend on the level of exposure for residential NO<sub>2</sub>, but for personal NO<sub>2</sub> the difference ranged between 0 and 1.9 µg/m<sup>3</sup> depending on the level of exposure. Personal PM<sub>2.5</sub> in the highest income group was indistinguishable from that in the lowest household income group until the 0.75 quantile, above which personal PM<sub>2.5</sub> was significantly higher in the highest household income group (2.8 (95%CI 2.4, 3.2) µg/m<sup>3</sup> difference in the 0.95 exposure quantile). Quantile regression results for each exposure adjusting for household income along with age and travel duration by mode are presented in **Figure 4**. Differences in personal exposure according to household income were largely explained by travel duration and mode.

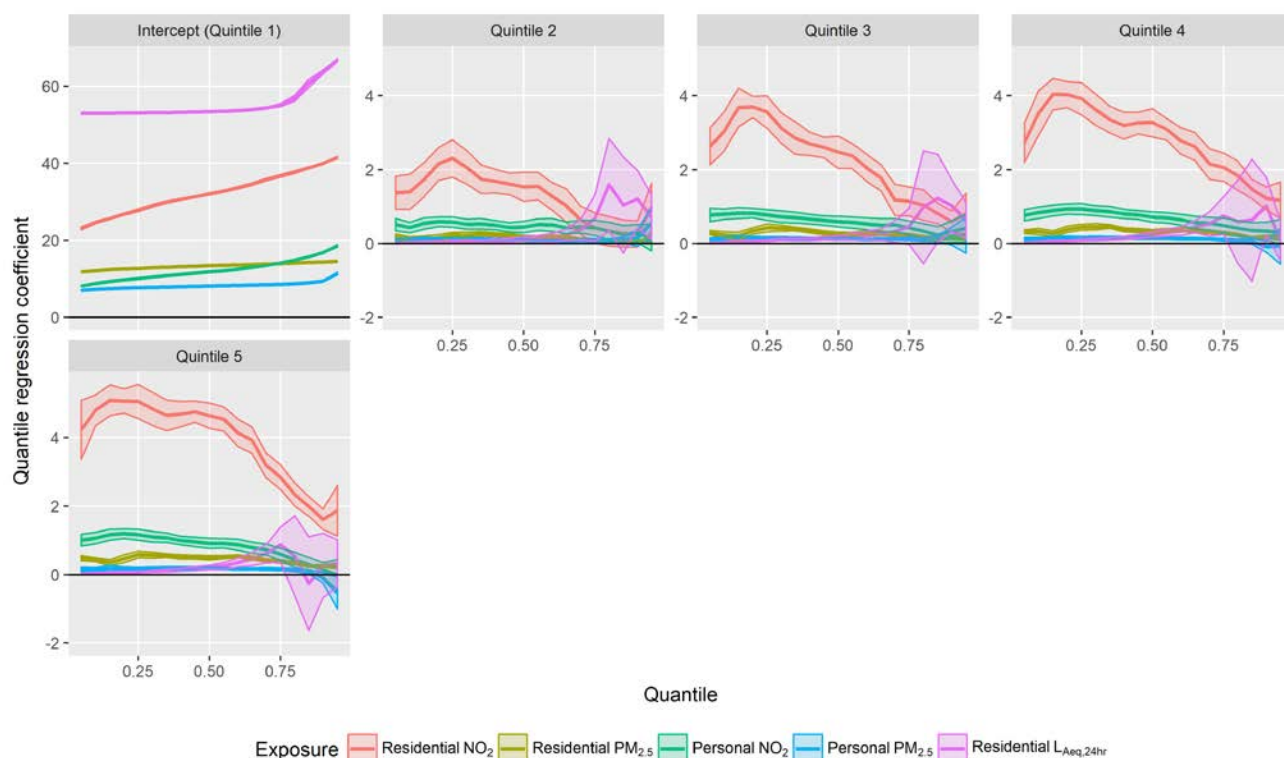
In the lowest household income strata, residential road traffic noise was approximately 53 dB until the 0.75 quantile, where it increased to nearly 70 dB in the 0.95 quantile. Differences in road traffic noise between the highest and lowest household income strata were negligible until the 0.75 exposure quantile. Above the 0.75 quantile, confidence intervals around the effect of household income on noise were wide, but the data suggest high household income was associated with lower noise exposure (e.g. -2.2 (95% CI -3.7,-0.8) dB at the 0.85 quantile).



(a)



(b)



(c)

**Figure 2. Quantile regression coefficients (line) and 95% confidence intervals (shading) for residential and personal air pollution and residential road traffic noise according to (a) household income (b) ethnicity and (c) area-level income deprivation. Each exposure modelled separately.**

The relationships between air pollution and road traffic noise exposures with ethnicity were complex (**Figure 2(b)**). Asians had higher residential  $\text{NO}_2$  compared to whites below, but not above, the 0.6 quantile of exposure. Residential and personal exposures to  $\text{PM}_{2.5}$  were similar for Asians and whites. Black and other ethnic groups had consistently higher residential  $\text{NO}_2$  compared to whites. Maps of ambient  $\text{NO}_2$  concentrations used to estimate residential exposure overlaid with participants' ethnicity at borough level show similar patterns (**S-Figure 5**): while both Asian and whites were present in mid and high-range  $\text{NO}_2$ , participants other than whites were far less likely to live in locations with low  $\text{NO}_2$ . Asian ethnicity was associated with higher road traffic noise compared to whites above the 0.75 quantile of exposure.

The largest exposure differences according to quintiles of area-level income deprivation were for residential  $\text{NO}_2$  (**Figure 2(c)**). However, differences were variable across the exposure range, with the largest differences

263 at low residential NO<sub>2</sub> levels. In other words, low residential NO<sub>2</sub> consistently occurred in low income  
264 deprivation areas; however, high residential NO<sub>2</sub> occurred in both high and low income deprivation areas,  
265 for example in parts of Central London (**S-Figures 1 and 2**).

266 Assuming estimated personal exposure to ambient pollution is a closer proxy for true personal exposure, we  
267 observed differences in the degree to which residential exposure overestimated personal exposure  
268 according to age, household income, and area-level income deprivation (**Figure 3**). Differences according to  
269 ethnicity (adjusted for covariates) were small. The largest differences were seen for participants typically  
270 outside of the working age range (shown in figure for 10 and 70 year olds), whereas the lowest  
271 misclassification occurred for working age adults. The extent of overestimation by residential exposure  
272 generally increased with decreasing household income and increasing area-level income deprivation.

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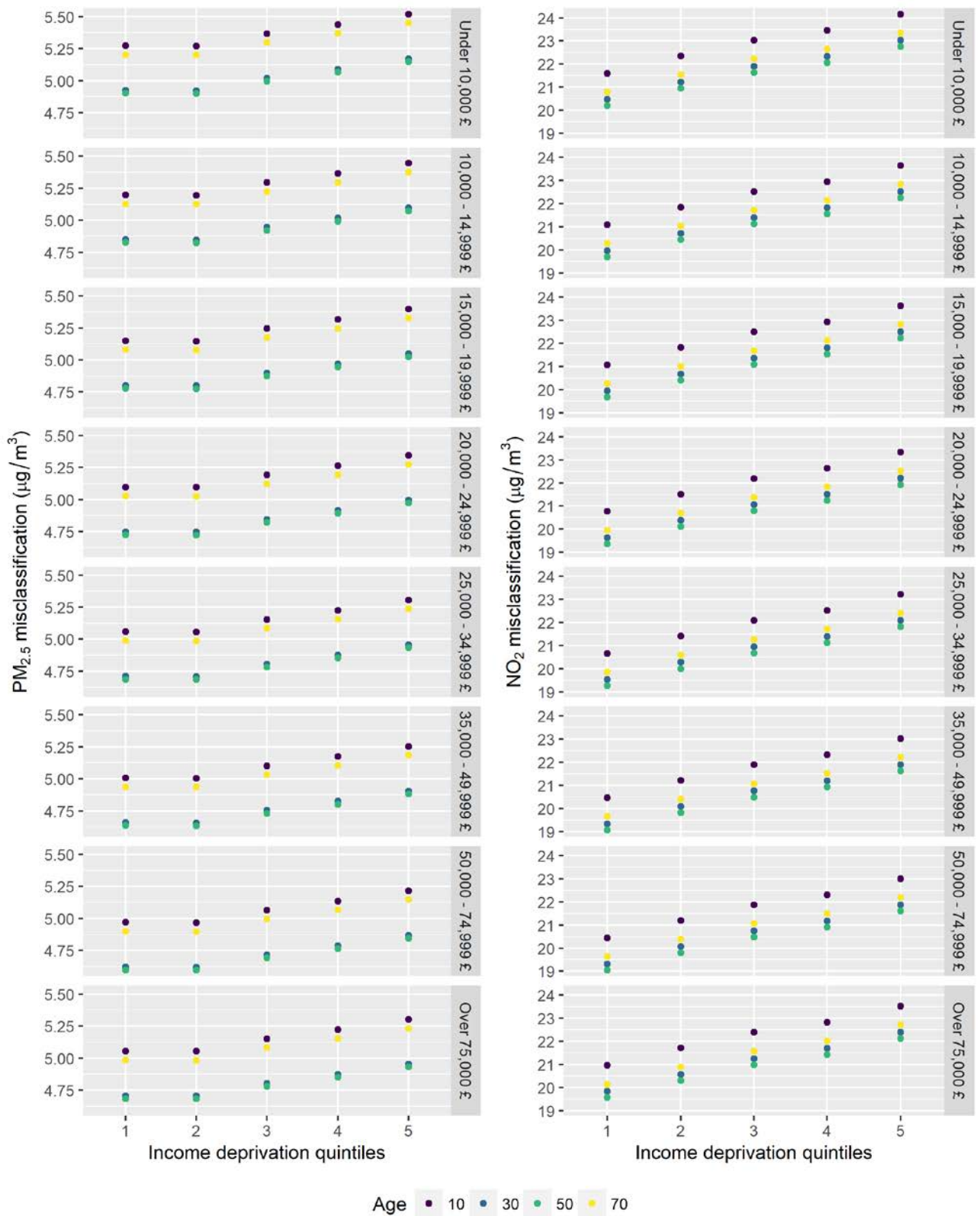
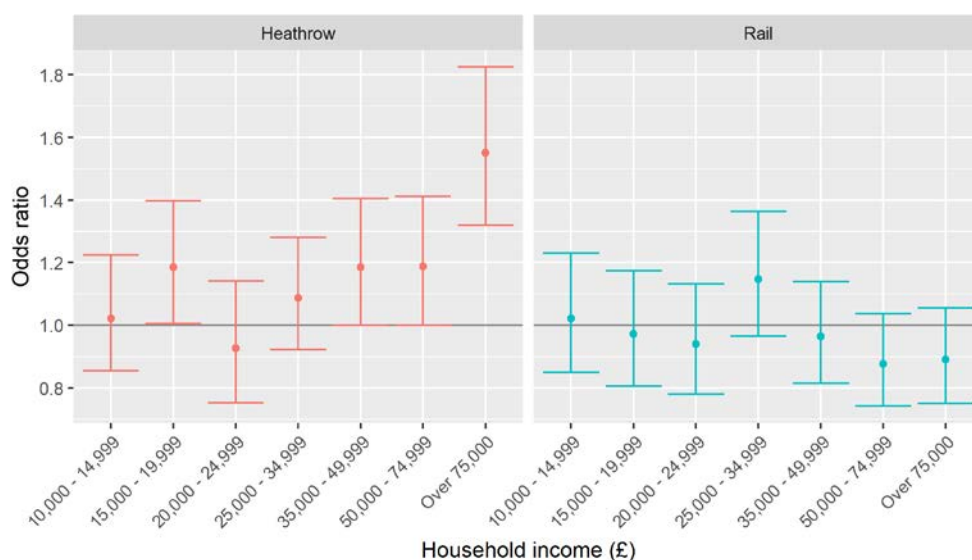


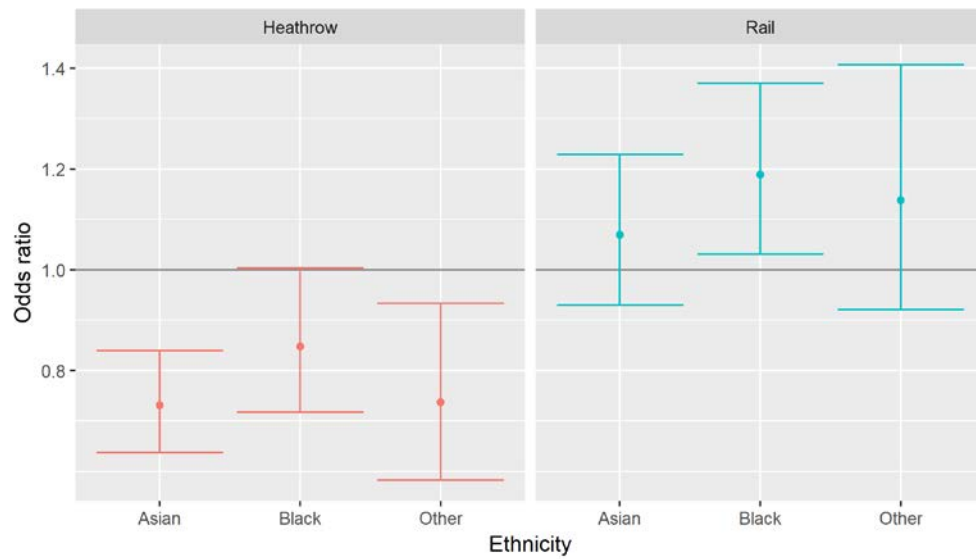
Figure 3. Exposure misclassification ( $\mu\text{g}/\text{m}^3$ ) using residential compared to personal air pollution according to age (shown for select ages), household income, and area-level income deprivation. Estimates mutually adjusted and adjusted for ethnicity and household.



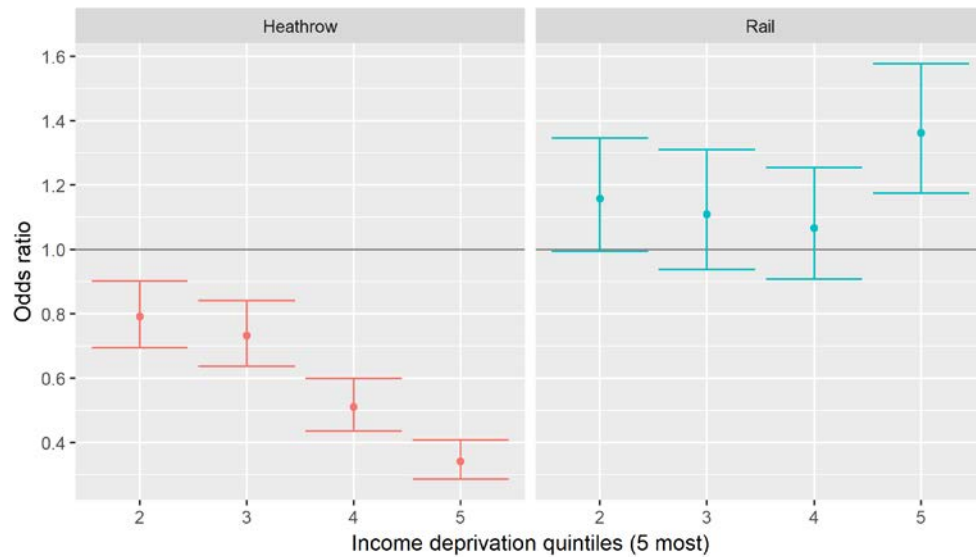
279 Individuals in the highest household income group had higher odds of living within a 50dB contour of aircraft  
 280 noise from Heathrow airport (OR 1.55 (95% CI 1.32, 1.82)) compared to the lowest income group (**Figure 4a**).  
 281 Individuals with Asian (OR 0.73 (95% CI 0.64, 0.84) and other ethnicity (OR 0.74 (95% CI 0.58, 0.93) had  
 282 significantly lower odds of exposure to aircraft noise compared to whites (**Figure 4b**). For rail noise, no trend  
 283 with household income was evident; however, the odds of living within a 50dB contour of rail noise was  
 284 higher in black participants compared to whites (OR 1.19 (95% CI 1.03, 1.37)) (**Figure 4b**). The odds of  
 285 exposure to aircraft noise steadily decreased with increasing area-level income deprivation (**Figure 4c**). In  
 286 contrast, the odds of exposure to rail noise were higher in the most deprived compared to least deprived  
 287 quintile: OR 1.36 (95% CI 1.18, 1.58).



288  
 289 (a)



(b)



(c)

**Figure 4. Exposure odds ratios (95% CI) to Heathrow airport and rail noise at residence according to (a) household income (reference: < 10,000 £) (b) ethnicity (reference: White) and (c) area-level income deprivation (reference: Quintile 1).**

## Discussion

Using a large dataset including individual-level data on household income and ethnicity, we observed a complex pattern of socioeconomic and ethnic inequalities in exposure to transport-related air and noise pollution in a large European city. In relation to our first objective, we observed inverse patterns in

inequalities in air pollution when estimated at residence versus personal exposure. Compared to the lowest household income group, the highest household income group had consistently lower residential NO<sub>2</sub>; however, most (from 0.25 quantile) participants in the highest household income group had higher personal NO<sub>2</sub> exposure. Air pollution exposure at residence consistently overestimated personal exposure with clear differences according to age, household income, and area-level income deprivation. These variables are often predictive of health status, which may lead to bias from differential exposure misclassification in epidemiological studies. In relation to our second objective, we observed socioeconomic and ethnic differences in the likelihood of exposure to aircraft and rail noise. Participants in the highest household income, white ethnicity, and lowest income deprivation groups were most likely to be exposed to aircraft noise from Heathrow airport, while participants in the group with the most area-level income deprivation were most likely to be exposed to rail noise. Socioeconomic and ethnic inequalities in road traffic noise were less pronounced.

We observed the highest personal air pollution exposure among participants with high household income, which was largely driven by differences in trip mode and duration by income level. Within the LTDS, increasing household income was associated with increasing number of trips per day and travel mode dominated by car, rail, and underground compared to bus and walking.(Transport for London, 2015) Car trips travelled the longest distances of all modes, and along with bus travel, had the longest travel times.(Transport for London, 2015) Similarly, the number of trips was highest for working age adults (25-59 years) and lowest for adults ≥65 years.(Transport for London, 2015) This is supported by our adjusted results (**S-Figure 4**), in which differences in personal exposure according to household income were minimal after adjusting for trip mode and duration.

Differences in PM<sub>2.5</sub> exposure on the scale of the socioeconomic inequalities observed here (up to 3 µg/m<sup>3</sup>) have been associated with a range of adverse health outcomes in the London population, suggesting that the observed exposure inequalities could contribute to health inequalities. For example, a 1.1 µg/m<sup>3</sup> difference in PM<sub>2.5</sub> estimated using a similar model as the model used to generate the residential exposures

328 in our study was associated with a decline in some measures of cognitive function in older adults.(Tonne et  
329 al., 2014) Similarly, a  $2.2 \mu\text{g}/\text{m}^3$  difference in  $\text{PM}_{2.5}$  (from a similar exposure model) was associated with  
330 increased odds of low birth weight.(Smith et al., 2017) Long-term exposure to  $\text{NO}_2$  has been linked to  
331 respiratory morbidity and mortality;(Health Canada, 2016; Faustini et al., 2014) although the expected  
332 health impacts from exposure differences on the scale observed in our study (up to  $2 \mu\text{g}/\text{m}^3$ ) are likely to be  
333 fairly small. A previous small-area study reported significant associations between aircraft noise from  
334 Heathrow and cardiovascular hospital admissions for exposures above 60dB compared to those below 50dB  
335 (Hansell et al., 2013); however, direct comparisons with our observed differences based on a binary  
336 exposure indicator are difficult.

337 Few previous studies of socioeconomic inequalities in air pollution exposure have focused on personal  
338 (modeled or measured) exposure. A recent study in London comparing measured air pollution in twelve  
339 typical commutes with origins with different area-level income deprivation and a single central London  
340 destination did not observe systematic differences in measured air pollution by deprivation.(Rivas et al.,  
341 2017) The highest particle exposures were observed for the commute originating in an area with high  
342 income deprivation; however, similar to our results (Table 1), the relationship between particle exposure  
343 and area-level income deprivation was not monotonic. Transport mode had a large impact on measured air  
344 pollution, with the highest levels of black carbon (BC) and PM of various size fractions ( $< 0.1 \mu\text{m}$ ,  $1 \mu\text{m}$ ,  $2.5 \mu\text{m}$ ,  $10 \mu\text{m}$ ) measured during trips taken by underground and bus. Our results are broadly consistent with a  
345 modeling study based on a population in Flanders, Belgium that modeled personal exposure to BC according  
346 to household income.(Dons et al., 2014) The personal BC model took into account time-activity patterns,  
347 high spatial and temporal resolution ambient concentrations, in-traffic exposures during trips, and time  
348 spent indoors. BC exposure was higher at residence for individuals with lower household income, but higher  
349 household income individuals had more trips that were predominantly by car in traffic peak hours, and  
350 therefore had higher exposures while travelling.(Dons et al., 2014)

352 The direction of inequalities in noise exposures in our study was highly dependent on the sociodemographic  
353 indicator and noise source. There was an indication that road traffic noise was lowest among participants  
354 with highest household income and lowest area income deprivation, but confidence intervals were often  
355 wide. However, there was a clearer indication that Asian participants had higher road traffic noise exposures  
356 compared to whites, likely because they live closer to high traffic roads. On the other hand, white  
357 individuals, those with high household income, and living in low income deprivation areas were more likely  
358 to be exposed to aircraft noise from Heathrow, while individuals in high income deprivation areas were  
359 more likely exposure to rail noise.

360 Other studies have similarly found sensitivity in the direction and magnitude of inequalities to noise  
361 according to indicator of socioeconomic position and noise source. A survey of German adults (n=7100)  
362 found higher frequency of self-reported road traffic and neighborhood noise annoyance among individuals  
363 with lower disposable income, although, associations were sensitive to specific indicators of social  
364 status.(Laußmann et al., 2013) Only a weak association was observed between income and aircraft noise. A  
365 non-linear association between census block level deprivation index and road traffic noise was associated  
366 with the highest exposures in an intermediate deprivation group in Marseille, France.(Bocquier et al., 2013)  
367 In Montreal, Canada, environmental noise (largely from transportation and industry) was correlated  
368 (Pearson) with area-level deprivation for a range of deprivation metrics.(Dale et al., 2015) In contrast, a  
369 study of road traffic noise in the city of Paris observed people living in socially advantaged neighborhoods in  
370 terms of education, dwelling value, and country of citizenship were exposed to higher noise compared to  
371 more deprived counterparts.(Havard et al., 2011) Results showed sensitivity to the definition of non-French  
372 citizenship: more refined analyses taking into account the level of development of the country of citizenship  
373 showed higher noise levels among people living in neighborhoods with a higher proportion of citizens from  
374 advantaged countries.(Havard et al., 2011)

375 Socioeconomic inequalities in air pollution have been found to be sensitive to analytical methods and the  
376 use of individual versus area-level socioeconomic data.(Hajat et al., 2015) Our analysis also highlights other

377 factors to which results are sensitive. We observed different results when considering inequalities based on  
378 residential versus personal air pollution exposure. We also observed that socioeconomic and ethnic  
379 inequalities are often not uniform across the exposure distribution. Our analysis shows the value of quantile  
380 regression, frequently used in economic analyses of inequality but, to our knowledge, not previously applied  
381 to inequalities in environmental exposures.(Martins and Pereira, 2004) Analyses based on traditional  
382 regression methods modeling only the mean would not have captured the full extent of exposure  
383 inequalities in our data. Our data indicate inequalities in personal air pollution according to household  
384 income at high, but not low exposures. Similarly, differences in residential NO<sub>2</sub> according to area-level  
385 income deprivation are greatest at the lowest exposures, but disappear at the highest exposures. This  
386 pattern is consistent with our previous research in London, indicating different correlations between air  
387 pollution and area-level income deprivation across the air pollution exposure range: correlations between  
388 exhaust-related primary PM<sub>2.5</sub> and deprivation were 0.16, 0.24, 0.12 and -0.17 according to increasing  
389 exposure category. (Halonen et al., 2016)

390 While using personal rather than outdoor residential air pollution is attractive due to reduced exposure  
391 misclassification, there may be a trade-off with more potential for residual confounding in epidemiological  
392 studies.(Weisskopf and Webster, 2017) Our data are consistent with the causal model proposed by  
393 Weisskopf and Webster (**S-Figure 6**), which identifies the potential for confounding by factors associated  
394 with both residential and personal air pollution. Residential air pollution was associated with area-level  
395 deprivation; however, the extent of confounding by area-level deprivation will also depend on the strength  
396 of association between deprivation and health, conditional on other covariates. Personal exposure was  
397 influenced by personal behaviors in our data, namely travel mode and duration, as well as age. Participants  
398 with active travel modes had lower personal exposure,(Smith et al., 2016) and active travel has been  
399 associated with a number of health benefits,(Celis-Morales et al., 2017) indicating that travel mode could be  
400 an important confounder of associations based on personal exposure. Our data do not suggest that  
401 household income would be a strong confounder of associations between personal PM<sub>2.5</sub> and health  
402 outcomes, although confounding is somewhat more likely with personal NO<sub>2</sub>. Although the quantile

403 regression results indicate stronger associations between household income and personal exposure at high  
404 exposures, epidemiological estimates are typically based on mean exposure and would be less affected. For  
405 example, mean personal PM<sub>2.5</sub> corresponds roughly with the 70<sup>th</sup> percentile of the exposure distribution (60<sup>th</sup>  
406 percentile for personal NO<sub>2</sub>) where differences according to household income are small (**Figure 2**),  
407 particularly after adjusting for other covariates (**S-Figure 4**).

408 The main strengths of our analysis are the large dataset including information on household income,  
409 individual-level ethnicity, and travel behavior from a representative sample of the London population. These  
410 data are combined with estimates of personal exposure, which take into account travel behavior and  
411 penetration of outdoor air pollution indoors at locations between trips. In addition, we used data on  
412 residential noise exposure to multiple transport sources, contributing to the currently small literature on  
413 noise inequalities. Our analysis uses quantile regression, which is well suited for, but not widely used in  
414 research of environmental inequalities.

415 A limitation of our analysis is that the residential, personal air pollution and road traffic noise data were  
416 based on models rather than direct measurements. While models allowed us to estimate exposures for a  
417 large sample, comparisons between residential and personal air pollution may be affected by differences in  
418 the models' performance. Sensitivity of the model of personal exposure has been evaluated by Smith and  
419 colleagues: model estimates were most sensitive to the parameterization of penetration of outdoor air  
420 indoors.(Smith et al., 2016) Notably, the model did not account for occupational exposures or indoor  
421 sources, which may be higher for individuals with lower socioeconomic position.(Jantunen et al., 2000)  
422 Evaluation of the model for road traffic noise against measurements is reported by Gulliver and  
423 colleagues.(Gulliver et al., 2015) The relatively small inequalities in road traffic noise we observed are within  
424 the range of model error and should be interpreted with caution. We did not account for spatial  
425 autocorrelation in residential air pollution (no autocorrelation was present for other exposures), which may  
426 have led to artificially small standard errors in the regression estimates. We explored methods that take into  
427 account the spatial structure of the data in the context of quantile regression (e.g. adjusting for spatial units

with fixed or random effects, or spatial smooth effects). While these methods addressed the spatial autocorrelation, they explained much of the variability of the response variable and shrunk the inequality effects, which are also clustered in space. We therefore report non-spatially adjusted results given that our focus was not on hypothesis testing. Also, we combined data from a number of sources, resulting in some temporal mismatch in the data (**S-Table 1**). This is most relevant for the aircraft noise from Heathrow airport, which was from year 2001. The inequalities observed with respect to Heathrow airport, a single source, are likely specific to the particular geography of London. However, we observed complex patterns in inequalities that varied by air pollution exposure estimation method and noise transport source; the presence of complexity and need for analytical methods to more fully characterize this complexity is likely to be widely generalizable across cities.

In conclusion, all transport sources were associated with some form of exposure inequalities, although the patterns were complex and the direction of inequalities was not consistent across exposure metrics. Analysis based on individual-level socioeconomic data and personal exposure provide a more accurate picture of which groups of individuals are most exposed, which can be notably different than the picture based on more aggregated data. Finally, quantile regression, a common tool in economic analysis of inequalities, is a useful approach for more fully characterizing environmental exposure inequalities across the full range of exposures. Socioeconomic and ethnic inequalities in integrated measures of multiple environmental stressors warrant further investigation.

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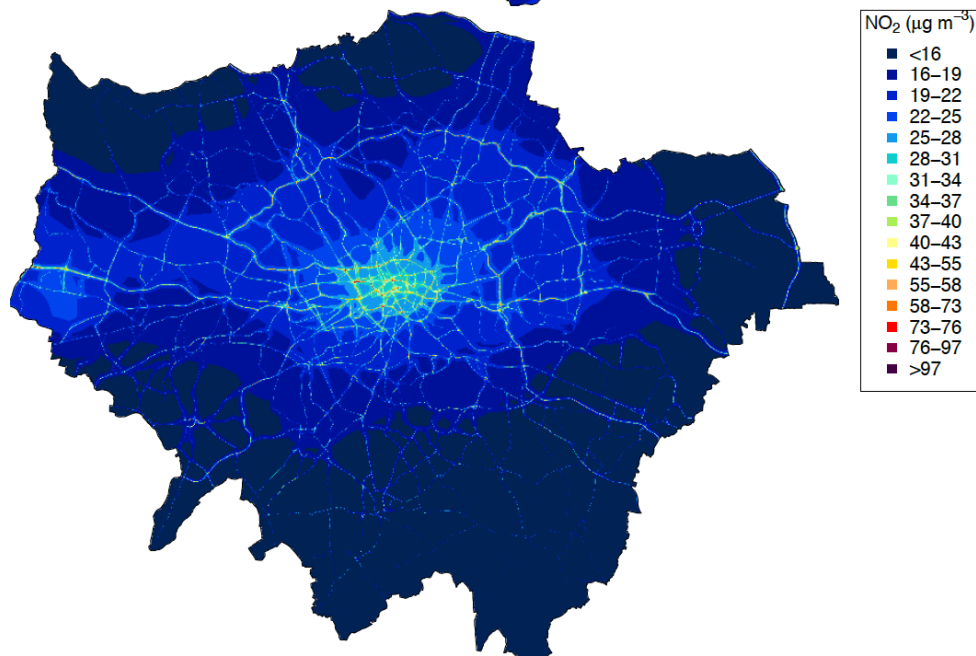
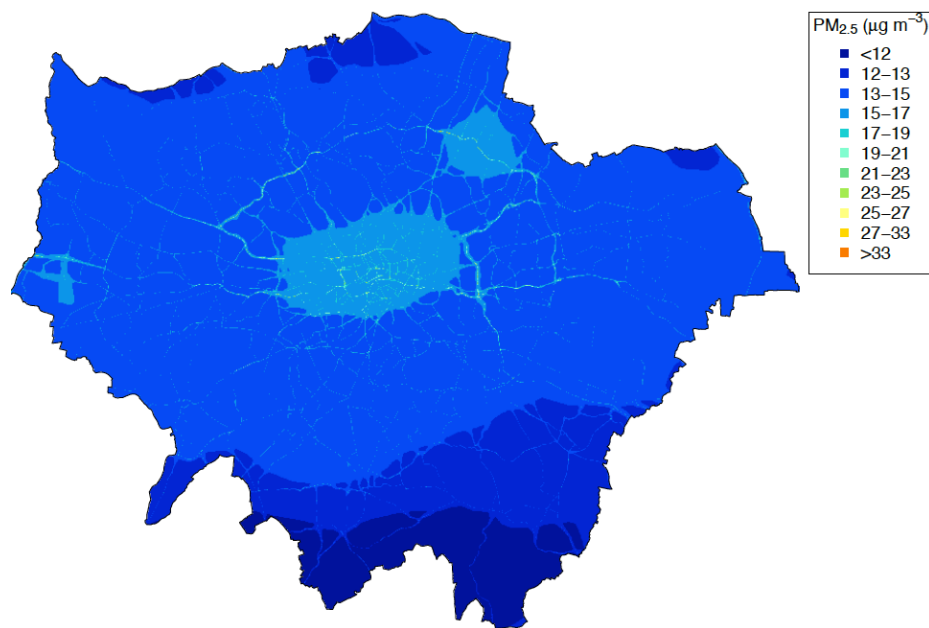
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556 **Supplementary information**

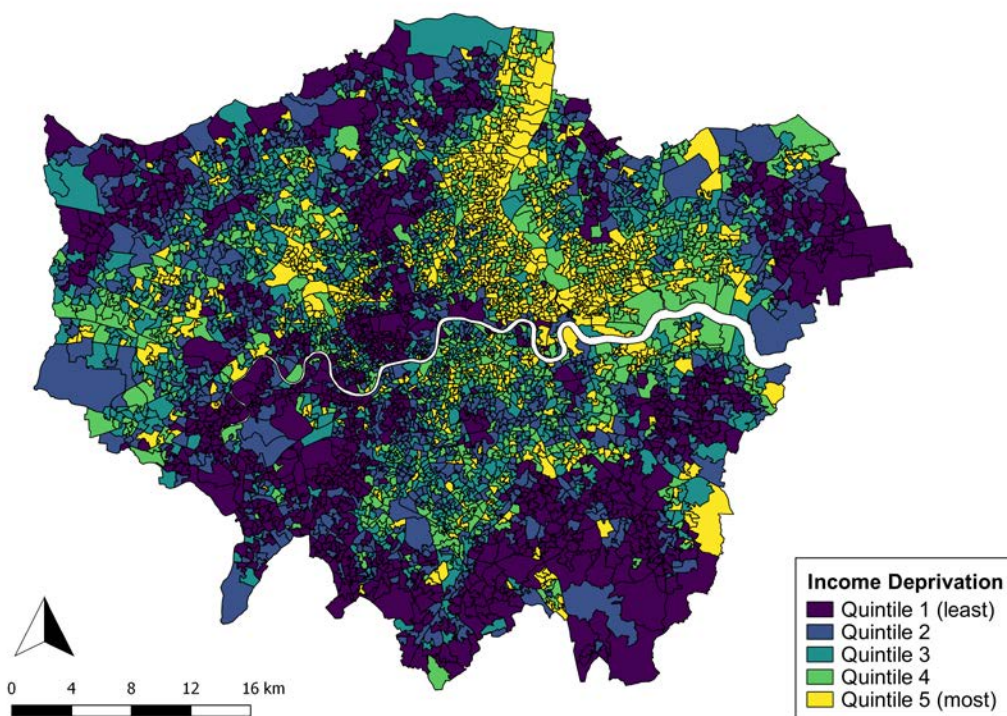
557 **S-Table 1. Summary of spatial resolution and time period covered by data sources**

<b>Data</b>	<b>Source/Model</b>	<b>Resolution</b>	<b>Date</b>
Age, sex, trips, travel model, trip duration, household income, ethnicity	London Travel Demand Survey from Transport for London; <a href="https://tfl.gov.uk/corporate/publications-and-reports/london-travel-demand-survey">https://tfl.gov.uk/corporate/publications-and-reports/london-travel-demand-survey</a>	Residential postcode centroid (in England on average 12 households per postcode)	2006-2010
Personal PM <sub>2.5</sub> , NO <sub>2</sub> exposure	London Hybrid Exposure Model (Smith et al., 2016)	Residential postcode centroid	Annual average 2011
Outdoor PM <sub>2.5</sub> , NO <sub>2</sub> exposure	CMAQ-Urban (Beevers et al., 2012)	20m x 20m surface linked to residential postcode centroid	2011
Road traffic noise	TRAffic Noise EXposure model (TRANEX) (Gulliver et al., 2015)	Residential postcode centroid	Annual average 2003-2010
Rail noise (binary indicator of location within 50dB L <sub>DAY</sub> noise contour)	UK Department for Environment, Food and Rural Affairs; Environmental Noise Directive – Noise Mapping	Residential postcode centroid	Annual average 2006
Aircraft noise from Heathrow airport (binary indicator of location within 50dB L <sub>DAY</sub> noise contour)	Civil Aviation Authority; UK civil aircraft noise contour model (ANCON)	Residential postcode centroid	Annual average 2001
Neighbourhood-level income deprivation	2010 Index of Multiple Deprivation – Income Domain(ref)	Lower Layer Super Output Areas (LSOAs): on average 1500 residents	2008

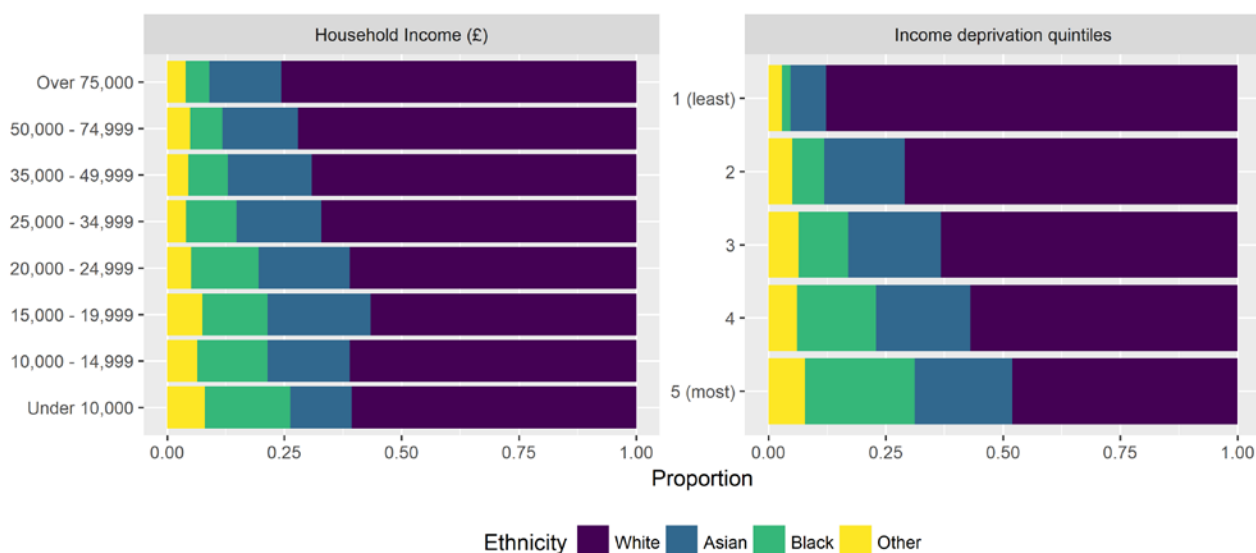
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**S-Figure 1. PM<sub>2.5</sub> and NO<sub>2</sub> concentrations (interpolated from 20x20m grid) used to estimated residential exposures**



**S-Figure 2. Quintiles (based on sample) of Lower Layer Super Output Area level income deprivation (2010)**



**S-Figure 3. Proportion of ethnicity of participants according to household income and area-level income deprivation**



576 **S-Table 2. Summary statistics for air pollution exposures and road traffic noise**

Model	n	mean	sd	min	Q1	median	Q3	max
Residential PM <sub>2.5</sub>	45,079	13.5	0.8	11.2	13.0	13.6	14.2	20.0
Personal PM <sub>2.5</sub>	45,079	8.5	1.4	6.0	7.8	8.2	8.7	32.2
Residential NO <sub>2</sub>	45,079	34.3	5.8	17.8	30.7	34.5	38.3	88.1
Personal NO <sub>2</sub>	45,079	12.9	3.3	4.3	10.8	12.3	14.5	55.3
Noise L <sub>Aeq,24hr</sub>	44,974	55.9	4.7	52.9	53.2	53.6	55.6	78.9

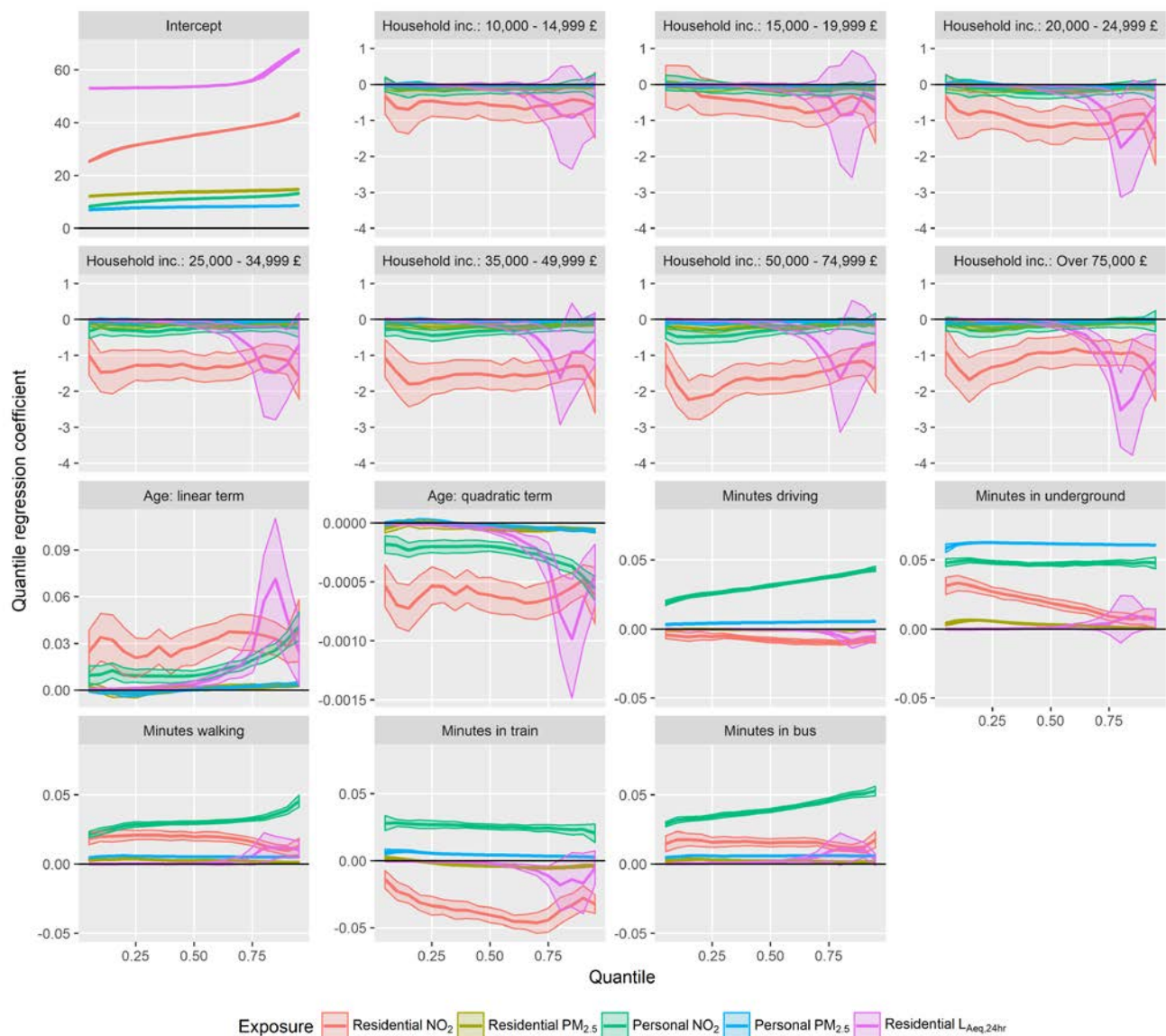
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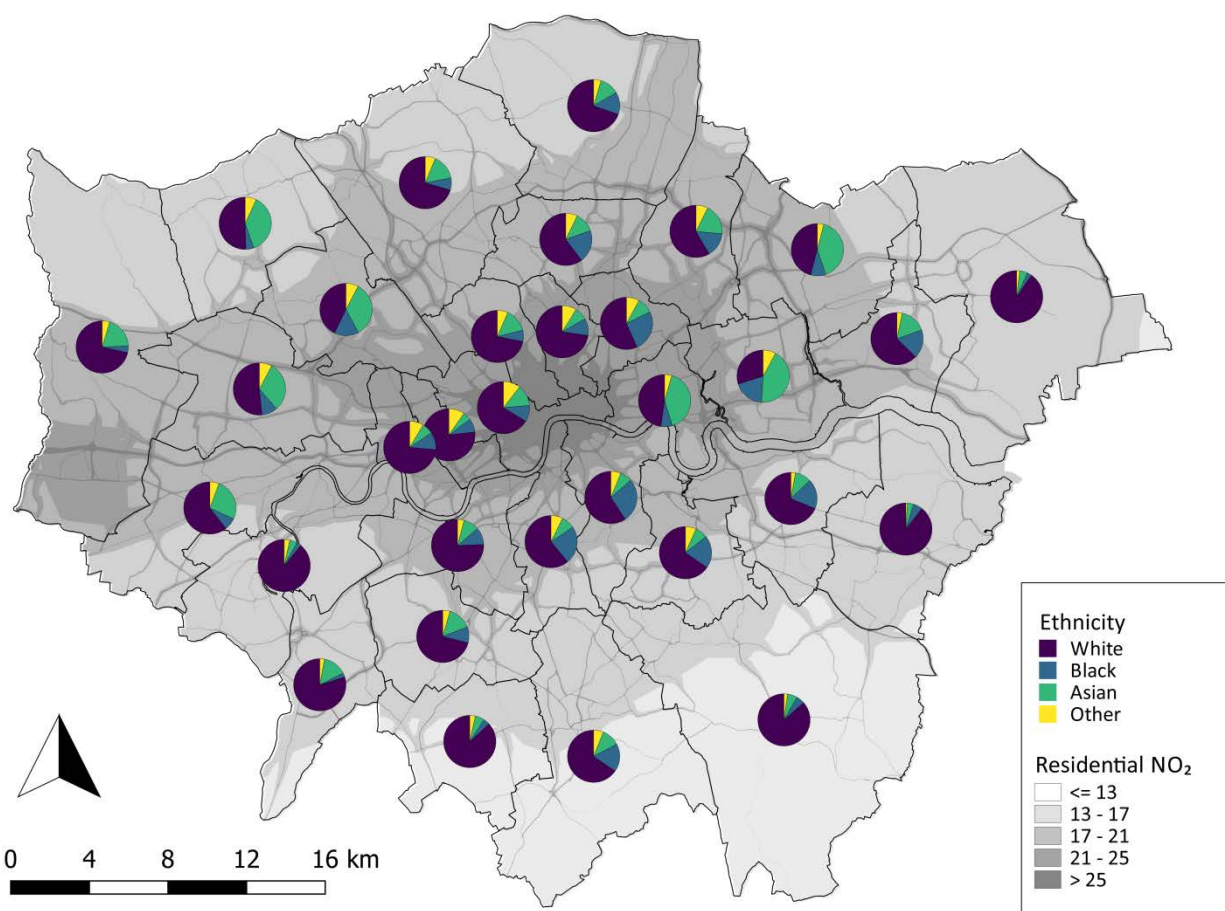
579 **S-Table 3. Median air and noise pollution by household income, ethnicity and area-level income**  
580 **deprivation**

Medians	N	Residential PM <sub>2.5</sub> (µg/m <sup>3</sup> )	Personal PM <sub>2.5</sub> (µg/m <sup>3</sup> )	Residential NO <sub>2</sub> (µg/m <sup>3</sup> )	Personal NO <sub>2</sub> (µg/m <sup>3</sup> )	Residential road traffic noise (L <sub>Aeq,24hr</sub> dB)
<b>Income (£)</b>						
Under 10000	8,327	13.73	8.18	35.30	12.10	53.63
10000 - 14999	4,762	13.66	8.20	34.77	12.18	53.58
15000 - 19999	4,318	13.67	8.21	34.91	12.32	53.58
20000 - 24999	3,883	13.59	8.22	34.24	12.37	53.51
25000 - 34999	5,760	13.59	8.23	34.19	12.34	53.53
35000 - 49999	6,464	13.56	8.25	33.89	12.42	53.55
50000 - 74999	5,573	13.56	8.26	33.76	12.64	53.51
Over 75000	5,992	13.61	8.27	34.58	12.60	53.50
<b>Ethnicity</b>						
White	29,479	13.56	8.20	33.91	12.24	53.52
Asian	7,592	13.72	8.29	35.00	12.46	53.64
Black	5,214	13.73	8.22	35.62	12.54	53.56
Other	2,516	13.82	8.29	35.66	12.56	53.62
<b>Income deprivation quintiles</b>						
1 (least deprived)	9,782	13.40	8.12	32.00	11.78	53.41
2	8,737	13.56	8.20	33.53	12.22	53.53
3	8,146	13.64	8.24	34.47	12.37	53.56
4	9,118	13.71	8.27	35.27	12.49	53.65
5 (most deprived)	8,128	13.89	8.30	36.63	12.69	53.61

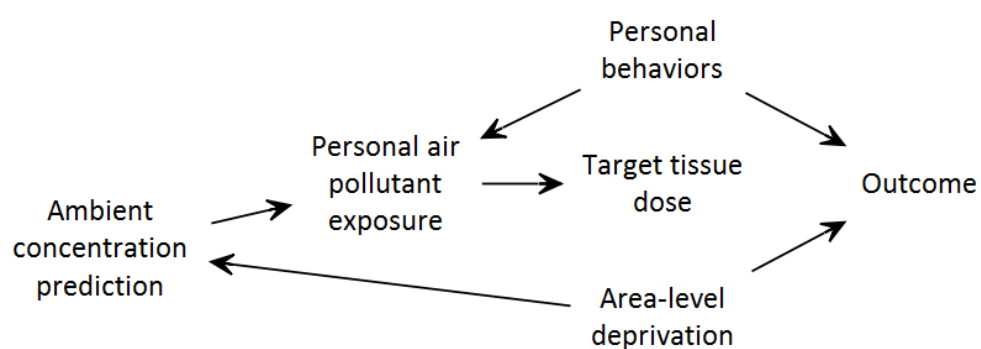
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**S-Figure 4. Quantile regression coefficients and 95% confidence intervals for residential and personal air pollution and residential road traffic noise according to household income. Each exposure fit separately to a model including household income, travel duration by mode, and age simultaneously.**



**S-Figure 5. Residential NO<sub>2</sub> concentrations overlaid with ethnicity of participants within each borough**



**S-Figure 6. Causal diagram illustrating confounding of ambient and personal exposure to air pollution in relation to a health outcome (adapted from Weisskopf and Webster)(Weisskopf and Webster, 2017).**