

**The Mediterranean Diet improves HDL function in high cardiovascular risk individuals: a randomized controlled trial**

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## 1 ABSTRACT

2 **Background.** The biological functions of high-density lipoproteins (HDLs) contribute to  
3 explaining the cardioprotective role of the lipoprotein beyond quantitative HDL  
4 cholesterol levels. A few small-scale interventions with a single antioxidant have  
5 improved some HDL functions. However, to date, no long-term, large-scale,  
6 randomized, controlled trial has been conducted to assess the effects of an antioxidant-  
7 rich dietary pattern (such as a Traditional Mediterranean Diet, TMD) on HDL function in  
8 humans.

9 **Methods.** This study was performed in a random sub-sample of volunteers from the  
10 PREDIMED Study (*Prevención con Dieta Mediterránea*) ( $N=296$ ) after a 1-year  
11 intervention. We compared the effects of two TMDs, one enriched with virgin olive oil  
12 (TMD-VOO,  $N=100$ ) and another with nuts (TMD-Nuts,  $N=100$ ), with respect to a low-  
13 fat control diet ( $N=96$ ). We assessed the effects of both TMDs on the role of HDL  
14 particles on reverse cholesterol transport (cholesterol efflux capacity, HDL ability to  
15 esterify cholesterol, and cholesteryl ester transfer protein activity), HDL antioxidant  
16 properties (paraoxonase-1 arylesterase activity and total HDL antioxidant capacity on  
17 low-density lipoproteins), and HDL vasodilatory capacity (HDL ability to induce the  
18 release of nitric oxide in endothelial cells). We also studied the effects of a TMD on  
19 several HDL quality-related characteristics (HDL particle oxidation, resistance against  
20 oxidative modification, main lipid and protein composition, and size distribution).

21 **Results.** Both TMDs increased cholesterol efflux capacity relative to baseline ( $P=0.018$   
22 and  $P=0.013$ , respectively). The TMD-VOO intervention decreased cholesteryl ester  
23 transfer protein activity (relative to baseline,  $P=0.028$ ), and increased HDL ability to  
24 esterify cholesterol, paraoxonase-1 arylesterase activity, and HDL vasodilatory  
25 capacity (relative to control,  $P=0.039$ ,  $P=0.012$ , and  $P=0.026$ , respectively). Adherence  
26 to a TMD induced these beneficial changes by improving HDL oxidative status and  
27 composition. The three diets increased the percentage of large HDL particles (relative

28 to baseline,  $P < 0.001$ ).

29 **Conclusions.** The TMD, especially when enriched with virgin olive oil, improved HDL  
30 atheroprotective functions in humans.

31 **Clinical Trial Registration.** ISRCTN35739639 ([http://www.controlled-](http://www.controlled-trials.com/ISRCTN35739639)  
32 [trials.com/ISRCTN35739639](http://www.controlled-trials.com/ISRCTN35739639)).

33 **Key words:** high-density lipoprotein, diet, antioxidant, lipids and lipoprotein  
34 metabolism, randomized controlled trial

35 **CLINICAL PERSPECTIVE**

36

37 **What is new?**

- 38 • The biological functions of high-density lipoproteins (HDLs) contribute to explaining  
39 the cardioprotective role of the lipoprotein beyond HDL cholesterol levels.
- 40 • HDL functions improved after some small-scale interventions with antioxidants.
- 41 • In this trial, an antioxidant-rich dietary pattern, a Traditional Mediterranean Diet  
42 (especially when enriched with virgin olive oil), enhanced the key HDL functions  
43 (reverse cholesterol transport pathway, HDL antioxidant properties, and  
44 vasoprotective effects) in humans.
- 45 • To date, this randomized controlled trial was the largest ( $N=296$ ) and the longest  
46 duration (1 year) study assessing change in HDL functionality in humans.

47

48 **What are the clinical implications?**

- 49 • Adherence to a Traditional Mediterranean Diet, particularly when enriched with  
50 virgin olive oil, improves HDL function in humans.
- 51 • The present work could contribute to the discovery of novel therapeutic targets that  
52 may improve HDL function in humans (new antioxidant-rich functional foods,  
53 nutraceuticals, or new drug families).

## 54 INTRODUCTION

55 A growing and consistent body of evidence (from observational and randomized  
56 controlled trials) supports that the Traditional Mediterranean Diet (TMD) protects  
57 against the development of cardiovascular diseases<sup>1</sup>. The PREDIMED Study  
58 (*Prevención con Dieta Mediterránea*), a multi-center, parallel, randomized controlled  
59 trial, has been crucial to show this protection in primary cardiovascular disease  
60 prevention<sup>2,3</sup>. Several mechanisms may contribute to explain the protection of this  
61 traditional food pattern against atherosclerosis, such as its ability to preserve DNA and  
62 systemic lipids against oxidative modifications, its anti-inflammatory effects, its  
63 modulatory capacity on the metabolomic profile, and its capacity to modulate gene  
64 expression related to cardiovascular diseases<sup>4-7</sup>. The TMD has also improved the lipid  
65 profile related to high density lipoproteins (HDLs)<sup>8,9</sup>. However, it is becoming  
66 increasingly more accepted that HDL function may reflect the anti-atherogenic role of  
67 the lipoprotein better than HDL cholesterol (HDL-C) levels<sup>10</sup>. Several foods and  
68 nutrients present in the TMD have been shown to improve a number of HDL functions  
69 in humans in previous trials<sup>11-14</sup>. To date, however, no evidence of the effects of the  
70 whole TMD on HDL properties has been reported.

71 The aim of the present study was to determine, in a random sub-sample of the  
72 PREDIMED Study, whether the long-term consumption of a TMD, enriched with virgin  
73 olive oil or nuts, was able to improve the different HDL functional properties in humans.

74

## 75 METHODS

76 An extended description of methods is available in the online-only Data Supplement.

77

### 78 Study population

79 Considering the available samples of the PREDIMED Study<sup>2,15</sup> and the sample size  
80 calculation estimated before the experiments, we performed a random selection of  
81 individuals with biological samples at baseline and after one year of dietary intervention

82 (N=296, 4.14% of the volunteers of the total trial). Volunteers had been randomly  
83 assigned in the beginning of the study to one of the following three interventions: 1) a  
84 TMD enriched with virgin olive oil (TMD-VOO, N=100); 2) a TMD enriched with nuts  
85 (TMD-Nuts, N=100); and 3) a low-fat control diet (N=96). We also registered the  
86 changes in general clinical and sociodemographic variables, adherence to the TMD, a  
87 food frequency questionnaire of the previous year, and physical activity<sup>15</sup>. The local  
88 Research and Ethics Committee approved the protocol of the study. Volunteers gave  
89 informed consent before joining the trial.

90

### 91 **HDL functions and quality-related properties**

92 We determined the volunteers' biochemical profile (glucose, triglycerides, total  
93 cholesterol, HDL-C, apolipoprotein A-I –ApoA-I–, and apolipoprotein B –ApoB–) in an  
94 ABX-Pentra 400 autoanalyzer (Horiba ABX)<sup>11</sup>. We calculated LDL cholesterol levels  
95 with the Friedewald formula (whenever triglycerides were <300 mg/dL)<sup>16</sup>, and the HDL-  
96 C/ApoA-I and ApoB/ApoA-I ratios. We isolated HDL particles from the plasma of the  
97 participants by density gradient ultracentrifugation<sup>11</sup> or by polyethylene glycol  
98 precipitation of apolipoprotein B-containing lipoproteins<sup>17</sup>. We measured cholesterol  
99 efflux capacity in a model of human THP-1 monocyte-derived macrophages<sup>11</sup>. We  
100 calculated the capacity of HDL particles to esterify cholesterol (the HDL cholesterol  
101 esterification index) as follows: percentage of esterified cholesterol in HDL/mass of  
102 lecithin-cholesterol transfer protein (LCAT, measured in plasma as previously  
103 reported<sup>18</sup>). We assessed the activity of cholesteryl ester transfer protein (CETP) in  
104 plasma and the arylesterase activity of paraoxonase-1 (PON1) in serum in commercial  
105 kits<sup>11,18</sup>. We measured HDL antioxidant capacity as the HDL ability to decrease the  
106 formation of conjugated dienes in LDLs in a pro-oxidant environment<sup>16</sup>, in a random  
107 sub-selection of 90 volunteers. HDL inflammatory index (HII) was based on the  
108 oxidation of the fluorescent 2',7'-dichlorodihydrofluorescein<sup>19</sup>. We determined HDL  
109 vasodilatory capacity as the HDL-induced increase in nitric oxide production in human

110 umbilical vein endothelial cells<sup>20</sup>. The level of oxidative modifications in HDL particles  
111 (the HDL oxidation index) was measured as follows: equivalents of malondialdehyde in  
112 HDL<sup>21</sup>/cholesterol in HDL. We assessed the resistance of HDL lipoprotein against  
113 oxidation (HDL oxidation lag time in a pro-oxidant environment) in a random sub-  
114 sample of 90 volunteers<sup>16</sup>. We assessed HDL composition (total cholesterol, free  
115 cholesterol, esterified cholesterol, triglycerides, phospholipids, and apolipoproteins A-I,  
116 A-II –ApoA-II– and C-III –ApoC-III–) in isolated HDL samples in an ABX-Pentra 400  
117 autoanalyzer (Horiba ABX)<sup>11</sup>. From these data, we calculated the amount of each  
118 apolipoprotein per mg of cholesterol, and the following ratios: HDL triglycerides/HDL  
119 esterified cholesterol (“triglycerides in HDL core”), and HDL phospholipids/HDL free  
120 cholesterol (“phospholipids in HDL surface”)<sup>11,18</sup>. Finally, we determined HDL size  
121 distribution by the LipoPrint technology (Quantimetrix)<sup>11</sup>.

122

### 123 **Sample size**

124 **Main sample.** A sample size of 96 participants per group allowed  $\geq 80\%$  power to  
125 detect a significant difference of 0.025 points in normalized cholesterol efflux capacity  
126 values between pre-and post-intervention values, and of 0.035 points among the three  
127 interventions, considering a 2-sided type I error of 0.05, a loss rate of 5%, and the  
128 standard deviation of the differences in cholesterol efflux capacity (SD=0.084) after an  
129 analogous dietary intervention<sup>11</sup>.

### 130 **Sub-group for HDL antioxidant capacity and HDL resistance against oxidation.**

131 As we had no previous data available on these properties, we calculated this sample  
132 size considering the variability of the differences in the activity of the main HDL  
133 antioxidant enzyme (PON1) after an analogous dietary intervention<sup>22</sup>. A sample size of  
134 28 participants per group allowed  $\geq 80\%$  power to detect a significant difference of  
135 0.057 points in the previous normalized capacity between pre-and post-intervention,  
136 and of 0.08 points among the three interventions, considering a 2-sided type I error of

137 0.05, a loss rate of 5%, and the standard deviation of the differences in this property  
138 (SD=0.104)<sup>22</sup>.

139

#### 140 **Statistical analyses**

141 We checked the distribution of continuous variables in normality plots and by the  
142 Shapiro-Wilk test, and log-transformed the non-normally distributed ones. We looked  
143 for differences between our subsample and the whole PREDIMED population by a T-  
144 test, and for differences in the baseline values among the three intervention groups by  
145 a Chi-square test (in categorical variables) or a one-way ANOVA (in continuous  
146 variables).

147 We analyzed differences between pre- and post-intervention values in every  
148 intervention by a paired T-test. We checked the effects of the three interventions on the  
149 changes in the variables of interest in a multivariate linear regression analysis (using  
150 two dummy variables, one for each intervention group), in which we included as co-  
151 variables: sex, age, participant's center of origin ( $k-1$  dummy variables), baseline value  
152 of the variable, and changes in the presence of dyslipidemia, type-2 diabetes mellitus,  
153 hypertension, and smoking habit throughout the study.

154 To assess the relationships among HDL functional parameters in baseline values, we  
155 performed Spearman's correlation analyses among variables and a principal  
156 component analysis. Moreover, to study the relationships among the changes in HDL  
157 functional parameters after the TMD-VOO intervention (the one in which most of the  
158 changes in HDL functions took place), we performed Spearman's correlation analyses  
159 among these differences, a principal component analysis, and multivariate linear  
160 regression analyses adjusted for the changes in other HDL functions (together with the  
161 variables described in the previous paragraph).

162 Any two-sided  $P$ -value  $<0.05$  was considered significant. We did not correct  $P$ -values  
163 for multiple comparisons because our analyses were hypothesis-driven and the

164 phenotypes of interest were highly correlated and non-independent. Analyses were  
165 performed with R Software, version 3.0.2<sup>23</sup>.

166

## 167 **RESULTS**

168

### 169 **Participants**

170 Study design is available in **Supplemental Figure 1**. We found no significant  
171 differences among groups in the baseline characteristics of the volunteers (**Table 1**).  
172 When comparing the baseline characteristics between our subsample and the whole  
173 population of the PREDIMED trial (**Supplemental Table 1**), our volunteers were on  
174 average 1.1 year older and there were 6.8% more males. However, no other  
175 differences in their main clinical characteristics were observed. Energy expenditure in  
176 leisure time physical activity and caloric intake did not change throughout the study,  
177 and volunteers' compliance with the interventions was correct according to biomarkers  
178 of compliance, TMD adherence scores, and the 1-year food frequency questionnaire  
179 (**Supplemental Tables 2-3**). Increased adherence to TMD after the TMD-VOO  
180 intervention was due to: 1) increases in the consumption of virgin olive oil, legumes,  
181 and fish; 2) substitution of red/processed meat for white meat; and 3) decreases in the  
182 consumption of precooked foods and industrial sweets ( $P<0.05$ ). Increased adherence  
183 to TMD after the TMD-Nuts intervention was due to: 1) increases in the consumption of  
184 nuts, virgin olive oil (less than in the TMD-VOO intervention), fruits, vegetables, and  
185 oily fish; and 2) decreases in the consumption of red and processed meat, precooked  
186 meals, and industrial sweets ( $P<0.05$ ). Finally, adherence to a low-fat diet was  
187 observed as a decrease in total fat intake (particularly saturated fats), due to decreases  
188 in the consumption of high-fat dairy products, red and processed meat, precooked  
189 meals, and industrial sweets ( $P<0.05$ ).

190

### 191 **Lipids**

192 Regarding the biochemical profile of the participants (**Supplemental Table 4-5**), we  
193 observed an expected decline in total cholesterol levels after the low-fat control diet  
194 ( $P=0.039$  and  $P=0.007$ , relative to baseline and the TMD-VOO intervention,  
195 respectively). This was mainly due to a decrease in LDL cholesterol levels ( $P=0.019$   
196 and  $P=0.004$ , relative to baseline and the TMD-VOO intervention, respectively).  
197 Regarding the HDL-related biochemical profile, we did not observe significant changes  
198 in HDL cholesterol or ApoA-I levels in any intervention relative to baseline or the low-fat  
199 diet (**Figures 1A-1D**). However, there was a significant decrease in the HDL  
200 cholesterol/ApoA-I ratio values in plasma in the TMD interventions relative to baseline  
201 ( $P=0.031$  and  $P<0.001$  in the TMD-VOO and the TMD-Nuts interventions, respectively)  
202 but not relative to the low-fat diet.

203

#### 204 **Cholesterol efflux capacity**

205 The cholesterol efflux capacity of the volunteers increased with both TMD interventions  
206 relative to baseline ( $P=0.018$ , and  $P=0.013$ , for TMD-VOO and TMD-Nuts respectively)  
207 (**Figures 1E-1F**) but not relative to the low-fat diet.

208

#### 209 **HDL role in other steps of reverse cholesterol transport**

210 The ability of HDL particles to esterify cholesterol (the HDL cholesterol esterification  
211 index) increased significantly after the TMD-VOO intervention relative to baseline  
212 ( $P=0.007$ ) and compared to the low-fat control intervention ( $P=0.039$ ) (**Figures 1G-1H**).  
213 CETP activity (**Figure 1I-1J**) decreased significantly after the TMD-VOO intervention  
214 relative to baseline ( $P=0.008$ ) but not compared to the low-fat diet.

215

#### 216 **HDL antioxidant capacities**

217 PON1 arylesterase activity did not change significantly relative to baseline after any  
218 intervention. However, when compared with the low-fat diet, it increased after the TMD-  
219 VOO intervention ( $P=0.012$ ) (**Figures 2A-2B**).

220 The HDL capacity to directly counteract LDL oxidation rose after the TMD-VOO  
221 intervention, relative to baseline values ( $P=0.004$ ) but not compared to the low-fat diet  
222 (**Figures 2C-2D**).

223 With respect to other antioxidant/anti-inflammatory properties of HDL, the HII increased  
224 significantly after the low-fat control diet relative to baseline ( $P=0.025$ ), whilst it did not  
225 rise after the TMD interventions (**Figures 2E-2F**). This led to a borderline significant  
226 decrease in HII values after the TMD-Nuts intervention relative to the low-fat diet  
227 ( $P=0.060$ ).

228

### 229 **HDL vasodilatory capacity**

230 HDL ability to promote the production of nitric oxide in endothelial cells (the HDL  
231 vasodilatory capacity) did not change significantly relative to baseline after any  
232 intervention. However, it increased significantly after the TMD-VOO intervention  
233 relative to the low-fat diet ( $P=0.026$ ) (**Figures 3A-3B**).

234

### 235 **HDL oxidation**

236 The level of oxidation of HDL particles (the HDL oxidation index) decreased after the  
237 TMD-VOO and the low-fat control diet, relative to their baseline values ( $P=0.028$  and  
238  $P=0.011$ , respectively) (**Figures 4A-4B**).

239 HDL dynamic resistance against oxidation (HDL oxidation lag time) increased after the  
240 TMD-VOO intervention, relative to baseline values ( $P=0.006$ ) but not compared to the  
241 low-fat diet (**Figures 4C-4D**).

242

### 243 **HDL composition**

244 When compared with the low-fat diet, the content of triglycerides in HDL core  
245 decreased significantly after both the TMD-VOO and the TMD-Nuts interventions  
246 ( $P=0.027$  and  $P=0.035$ , respectively) (**Figures 4E-4F**).

247 After the TMD-VOO intervention, the content of phospholipids in the HDL surface  
248 increased relative to baseline ( $P=0.003$ ) and the low-fat control diet ( $P=0.036$ ) (**Figures**  
249 **4G-4H**).

250 We found no significant changes in the levels of apolipoproteins A-I, A-II and C-III in  
251 HDL in any of the interventions.

252

### 253 **HDL size distribution**

254 The three interventions increased the levels of large HDL particles relative to their  
255 baseline values ( $P<0.001$  for the three interventions) (**Figures 4I-4J**). We observed no  
256 differences among the three of them in the multivariate regression model.

257

### 258 **Other data**

259 All values of the comparisons between post- and pre-intervention values, and between  
260 the changes in the TMD interventions relative to the low-fat diet, are available in  
261 **Supplemental Tables 6** and **7**, respectively.

262 Pearson's correlations among baseline values, and the differences after the TMD-VOO  
263 intervention in HDL functional parameters, are available in **Supplemental Tables 8**  
264 and **9**, respectively. Bi-dimensional plots of the distribution of baseline values and the  
265 differences in HDL functional traits after the TMD-VOO intervention, according to the  
266 two main components of the respective principal component analysis, are available in  
267 **Supplemental Figures 2** and **3**, respectively. Independent effects of the TMD-VOO  
268 intervention on each HDL function (eliminating the effect of the diet on the rest of the  
269 HDL functional capacities) are available in **Supplemental Tables 10** and **11**. A further  
270 description of the relationships among baseline and changes in HDL functionality traits  
271 is available in the **Supplemental Results** section.

272

## 273 **DISCUSSION**

274 Our results show that one year of intervention with a TMD improves several HDL  
275 functions (cholesterol efflux capacity, cholesterol metabolism, antioxidant/anti-  
276 inflammatory properties, and vasodilatory capacity) in individuals at high cardiovascular  
277 risk. Thus far, this randomized controlled trial is the largest ( $N=296$ ) and the longest  
278 duration ( $1.13\pm 0.21$  years, mean $\pm$ SD) study assessing change in HDL functionality in  
279 humans.

280 Cholesterol efflux capacity is a key biological function of HDL: HDL particles extract  
281 excess cholesterol from peripheral cells for delivery to the liver for metabolism or  
282 excretion<sup>24</sup>. Macrophage-specific cholesterol efflux capacity is inversely related to  
283 higher cardiovascular risk<sup>17</sup> and greater incidence of coronary events<sup>25</sup>. In the present  
284 trial, both TMD interventions increased cholesterol efflux capacity relative to baseline.  
285 We assessed cholesterol efflux capacity in a human THP-1 macrophage-based model,  
286 as these cells do not require a chemical induction of the expression of the cholesterol  
287 transporters prior to the experiments<sup>26</sup> and reflect more physiologically the efflux  
288 phenomenon and the overall human atherosclerotic process<sup>24</sup>. An improved HDL  
289 oxidative status<sup>27</sup> (as has been associated in our data), lipid composition<sup>12</sup>, and HDL-  
290 related gene expression<sup>28</sup> may contribute to explaining these changes. Similar  
291 increments in cholesterol efflux capacity using the same human macrophage model  
292 have been observed in clinical trials with virgin olive oil<sup>11</sup> and trials with supplements of  
293 polyunsaturated fatty acids<sup>14</sup>.

294 HDL lipoproteins esterify and internalize accepted cholesterol from the periphery,  
295 continuing the reverse cholesterol transport pathway<sup>29</sup>. This property can be measured  
296 by LCAT activity<sup>29</sup> or the HDL cholesterol esterification index. In our study, the TMD-  
297 VOO intervention significantly increased the HDL cholesterol esterification index. As  
298 LCAT is very sensitive to oxidative attacks<sup>30</sup>, dietary antioxidants in the TMD may  
299 contribute to maintaining LCAT non-oxidized and functional. A fruit and vegetable-rich  
300 diet<sup>31</sup> and a supplement of the antioxidant lycopene<sup>13</sup> have induced similar effects in  
301 previous studies.

302 CETP is a key enzyme in the cholesterol transfer from HDL particles to triglyceride-  
303 poor lipoproteins (and subsequently to the liver). CETP activity is frequently increased  
304 in high cardiovascular risk states<sup>32</sup>. However, inhibition of CETP with pharmacological  
305 agents has not resulted in improved cardiovascular outcomes<sup>33</sup>. It has been  
306 hypothesized that this lack of effects could be due to the futility of drastically increasing  
307 HDL-C levels above physiological concentrations or to harmful off-target effects (e.g.  
308 hypertensive ones)<sup>33</sup>. Nevertheless, a slight decrease in the CETP activity (such as the  
309 one present after the TMD-VOO intervention in this trial –2.90%– or after a lycopene-  
310 rich diet –5.87%–<sup>13</sup>), accompanied by a general improvement in the lipid metabolism  
311 profile and without off-target effects, may be protective since it could contribute to  
312 maintaining HDL homeostatic function.

313 Another key atheroprotective role of HDL is its antioxidant capacity. HDL lipoproteins  
314 are able to counteract the oxidation of LDL, one of the main biochemical triggers of the  
315 development of the atherosclerotic plaque<sup>10</sup>. This antioxidant capacity is coordinated  
316 through the action of several proteins, among which PON1 is the central enzyme<sup>34</sup>.

317 Whilst low PON1 arylesterase activity has been postulated as a potential predictor of  
318 cardiac events in some trials<sup>35</sup>, its predictive role is still controversial in other human  
319 studies<sup>36</sup>. In the present trial, the TMD-VOO intervention increased the overall  
320 antioxidant protection of HDL particles on LDLs, and also incremented PON1  
321 arylesterase activity. This augmentation in PON1 function seemed independent from  
322 the benefits of the TMD-VOO intervention on other HDL functions. Although not directly  
323 assessed in this study, the different bioactive compounds in the TMD (olive oil phenolic  
324 compounds<sup>18</sup>, carotenoids such as lycopene<sup>13</sup>, and omega-3 polyunsaturated fatty  
325 acids<sup>14</sup>) may act synergistically and could: 1) induce local antioxidant functions; 2)  
326 preserve other dietary antioxidants in HDL lipoproteins (such as vitamin E); and 3)  
327 protect PON1 against oxidative modifications and/or enhance its function<sup>37,38</sup>.

328 HDL particles can also counteract the pro-inflammatory effects of pro-oxidant  
329 substances, such as modified LDL, due to the combined action of HDL-associated

330 proteins<sup>19,34</sup>. Low HII values reflect an increase in HDL anti-inflammatory properties<sup>19</sup>.  
331 HII has been shown to be abnormally elevated in subjects with cardiovascular  
332 pathologies and can discriminate patients with acute coronary syndrome from those  
333 with stable angina or healthy controls<sup>19</sup>. In the present study, the low-fat control diet  
334 increased HII values, whilst the TMD interventions did not. Further studies are  
335 warranted since to date no diet-based intervention has been shown to be able to  
336 improve the HDL inflammatory index significantly.

337 In addition to cholesterol transport, anti-oxidative, and anti-inflammatory functions, HDL  
338 lipoproteins also have endothelial vasoprotective properties<sup>39</sup>, which are impaired in  
339 high cardiovascular risk states<sup>40</sup>. In the present trial, the TMD-VOO intervention  
340 increased the capacity of isolated HDL to induce the production of nitric oxide from  
341 endothelial cells, a vasodilatory trait (which can also be considered as vasoprotective).  
342 This increase in HDL endothelial protection seemed independent from the benefits of  
343 the TMD-VOO intervention on other HDL functions, as in the case of the improvement  
344 in the PON1 function. HDL particles with a high content of sphingosine-1-phosphate, a  
345 low content of oxidized lipids, and stronger capacity to perform ABCG1-dependent  
346 cholesterol efflux have been associated with a greater ability to maintain endothelial  
347 homeostasis<sup>39</sup>. Massive weight losses due to gastric surgery<sup>20</sup> and an aerobic exercise  
348 training program<sup>41</sup> have increased the HDL ability to induce the release of nitric oxide  
349 from endothelial cells in previous trials. However, TMD is the first dietary modification  
350 that has been shown to promote HDL vasoprotective properties.

351 A potential explanation for the TMD-mediated increase in HDL functionality could be an  
352 improvement in the oxidative status of the lipoprotein<sup>27</sup>. In our trial, whilst the TMD-  
353 VOO intervention and the low-fat diet led to a decreased oxidation of HDL particles, the  
354 lipoproteins were more resistant against dynamic oxidation only after the TMD-VOO  
355 intervention. Both the TMD-VOO and the low-fat intervention were rich in fruit and  
356 vegetables<sup>15</sup>. Some antioxidants, such as carotenoids, are highly present in both, bind  
357 to HDL lipoproteins, and protect them locally against oxidative modifications<sup>13</sup>.

358 However, antioxidant protection could be greater in the TMD due to a higher content of  
359 its particular antioxidants, such as olive oil phenolic compounds<sup>11,38</sup>.

360 Another mechanism that could explain the benefits of the TMD on HDL function could  
361 be a better HDL composition profile. Both TMD interventions decreased the content of  
362 triglycerides in the HDL core. Low-fat diets are known to induce transient  
363 hypertriglyceridemias<sup>42</sup> which could lead to an indirect hypertriglyceridemic state in  
364 HDL particles. Nevertheless, HDL hypertriglyceridemias appeared to be reversed by  
365 the TMD in our trial. In the specific case of the TMD-VOO intervention, the decreased  
366 CETP activity could help to explain the reduced triglyceride content in HDL lipoproteins,  
367 as observed in our data. In the particular case of the TMD-Nuts intervention, the nut  
368 consumption may have decreased systemic triglyceride levels<sup>43</sup> and, indirectly,  
369 reduced HDL triglyceride content. These decrements in the HDL triglyceride content  
370 after the TMD interventions are associated with a more stable conformation of ApoA-I  
371 in the HDLs<sup>44</sup> and could partially explain the improved HDL role in cholesterol efflux  
372 capacity and antioxidant functions.

373 Continuing with HDL composition, after the TMD-VOO intervention, HDL particles had  
374 more phospholipids in their surface. As has been associated in our data, the improved  
375 HDL capacity to esterify cholesterol may explain this change: when the free cholesterol  
376 content in the surface decreases, the relative content of phospholipids increases. This  
377 could be linked to a greater HDL fluidity, which has already been observed after the  
378 consumption of virgin olive oil<sup>11,38</sup> and could contribute to explaining an increased HDL  
379 functionality.

380 Some parameters related to the structure of HDL, such as the HDL-C/ApoA-I ratio in  
381 plasma, also improved after the TMD interventions. A decrease in the HDL-C/ApoA-I  
382 balance could be beneficial since high values of this ratio have been associated with  
383 increased cardiovascular and all-cause mortality<sup>45</sup>.

384 Finally, size is also a major but controversial trait of lipoproteins. Large HDL particles  
385 may be atheroprotective due to their ability to mediate ABCG1- and SRBI-dependent

386 cholesterol efflux capacity, whilst small and lipid-free HDL lipoproteins could also be  
387 beneficial through their ability to promote the ABCA1-dependent efflux  
388 phenomenon<sup>24,46</sup>. The ability of the TMD and some of its bioactive components (olive  
389 oil phenolic compounds) to increase the levels of large HDL particles has already been  
390 described in previous trials<sup>11,47</sup>.

391 The present study has several strengths. First, it involves a large sample size ( $N=296$ ).  
392 Second, it presents a randomized design with the presence of an active comparator  
393 (low-fat diet). Third, its duration is long (one year of follow-up). Finally, it  
394 comprehensively assesses diverse HDL functions and HDL quality-related  
395 characteristics. Nevertheless, it also has limitations. The participants of the trial were  
396 elderly people at high cardiovascular risk which hinders the extrapolation of our results  
397 to the general population. As expected, we found only slight differences since: 1) the  
398 trial is based on modest real-life modifications of the diet; and 2) the control diet is  
399 already a well-known healthy dietary pattern. The use of cellular models, although it is  
400 a non-invasive alternative to test relevant physiological functions, may not have  
401 demonstrated the effect of contra-regulatory mechanisms, which can modify the final *in*  
402 *vivo* outcome in humans. Due to availability issues, in the samples from two of the  
403 study centers ( $N=67$ ) we could not perform the analyses related to HDL main  
404 enzymatic proteins (CETP and PON1 activities, and LCAT mass). In the samples from  
405 one study center ( $N=37$ ) we were also unable to assess HDL size. Finally, we could not  
406 perform HDL vasodilatory capacity analyses in 16% of the individuals in all the  
407 interventions due to technical issues in cell cultures.

408 In conclusion, we report the effects of an integral dietary intervention on HDL  
409 functionality. To date, this is the first randomized controlled trial to study simultaneously  
410 four key HDL functional traits (cholesterol efflux capacity, HDL cholesterol metabolism,  
411 HDL antioxidant/anti-inflammatory properties, and vasoprotective effects), in a large  
412 sample size and with an extended duration of the intervention. Adherence to a TMD,  
413 especially when enriched with virgin olive oil, was associated with increases in these

414 four key HDL functions. The TMD could have induced these benefits through  
415 improvements in HDL oxidative status, composition, and size. Our data support the  
416 improvement in HDL function after following a TMD. Further studies are warranted to  
417 investigate the mechanism by which the TMD improves HDL function and whether  
418 these properties convey cardioprotective effects.

419

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431

## 432 **DISCLOSURES**

433 None.

434

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- 632

633 **TABLES**

634

635 **TABLE 1**

636 Baseline characteristics of the volunteers in the three intervention groups of the study.

<b>VARIABLES</b>	<b>TMD-VOO N=100</b>	<b>TMD-Nuts N=100</b>	<b>Low-fat diet N=96</b>	<b>P-value</b>
Age (years)	66.3 (5.78)*	66.4 (6.93)	65.0 (6.49)	0.247
Sex (% male)	56.0%	47.0%	50.0%	0.432
Body Mass Index (kg/m <sup>2</sup> )	30.1 (3.85)	29.0 (3.76)	29.9 (3.87)	0.087
Waist Circumference (cm)	100 (10.7)	101 (10.3)	102 (11.2)	0.469
Leisure-time physical activity (MET·min/day)	176 [69.3;284]†	175 [68.3;408]	174 [41.3;362]	0.870
Smoking status (% of smokers)	15.0%	10.0%	12.5%	0.565
Type 2 diabetes (% of diabetic patients)	48.0%	52.0%	46.9%	0.751
Hypertension (% of hypertensive patients)	78.0%	78.0%	80.2%	0.910
Dyslipidemia (% of dyslipidemic patients)	79.0%	70.0%	83.3%	0.074
Glucose (mg/dL)	110 [93.8;137]	108 [92.5;140]	108 [94.0;131]	0.982
Triglycerides (mg/dL)	207 (36.8)	196 (36.2)	204 (36.8)	0.112
Total cholesterol (mg/dL)	110 [93.2;158]	100 [72.5;144]	113 [83.0;140]	0.160
HDL cholesterol (mg/dL)	50.2 (12.3)	49.8 (10.3)	49.1 (11.6)	0.777
LDL cholesterol (mg/dL)	130 (28.5)	123 (30.4)	130 (31.7)	0.181
Apolipoprotein A-I (mg/dL)	138 (23.5)	134 (20.2)	131 (19.4)	0.232
Apolipoprotein B (mg/dL)	106 (21.6)	98.2 (18.9)	103 (21.8)	0.062

637

638 \*: Mean (SD). †: Median [1<sup>st</sup>-3<sup>rd</sup> quartile].639 *MET*: metabolic equivalent of task. *TMD-VOO*: Traditional Mediterranean Diet enriched640 with virgin olive oil. *TMD-Nuts*: Traditional Mediterranean Diet enriched with mixed

641 nuts.

642 **FIGURE LEGENDS**

643

644 **FIGURE 1**

645 Post- vs. pre-intervention changes (A, C, E, G, I) and inter-intervention changes in a  
646 multivariate linear regression model (B, D, F, H, J) in HDL variables related to reverse  
647 cholesterol transport: HDL cholesterol levels (A-B), apolipoprotein A-I levels (C-D),  
648 cholesterol efflux capacity (E-F), HDL cholesterol esterification index (G-H), and activity  
649 of cholesteryl ester transfer protein (I-J). Results are shown as means with 95% CI (A,  
650 C, E, G, I) or adjusted coefficients with 95% CI (B, D, F, H, J). CETP: cholesteryl ester  
651 transfer protein; TMD-VOO: Traditional Mediterranean Diet enriched with virgin olive  
652 oil; TMD-NUTS: Traditional Mediterranean Diet enriched with nuts. \*:  $P < 0.05$ .

653

654

655 **FIGURE 2**

656 Post- vs. pre-intervention changes (A, C, E) and inter-intervention changes in a  
657 multivariate linear regression model (B, D, F) in variables related to HDL antioxidant  
658 capacity: paraoxonase-1 arylesterase activity (A-B), HDL capacity to increase LDL lag  
659 time (C-D), and HDL inflammatory index (E-F). Results are shown as means with 95%  
660 CI (A, C, E) or adjusted coefficients with 95% CI (B, D, F). PON1: paraoxonase-1;  
661 TMD-VOO: Traditional Mediterranean Diet enriched with virgin olive oil; TMD-NUTS:  
662 Traditional Mediterranean Diet enriched with nuts. \*:  $P < 0.05$ ; \*\*:  $P < 0.01$ .

663

664 **FIGURE 3**

665 HDL vasodilatory capacity: post- vs. pre-intervention changes (A) and inter-intervention  
666 changes in a multivariate linear regression model (B). Results are shown as means  
667 with 95% CI (A) or adjusted coefficients with 95% CI (B). TMD-VOO: Traditional  
668 Mediterranean Diet enriched with virgin olive oil; TMD-NUTS: Traditional  
669 Mediterranean Diet enriched with nuts. \*:  $P < 0.05$ .

670 **FIGURE 4**

671 Post- vs. pre-intervention changes (A, C, E, G, I) and inter-intervention changes in a  
672 multivariate linear regression model (B, D, F, H, J) in variables related to HDL  
673 oxidation, composition and size: HDL oxidation index (A-B), HDL resistance against  
674 oxidation (HDL lag time) (C-D), quantity of triglycerides in HDL core (E-F), quantity of  
675 phospholipids in HDL surface (G-H), and percentage of large HDL particles (I-J).  
676 Results are shown as means with 95% CI (A, C, E, G, I) or adjusted coefficients with  
677 95% CI (B, D, F, H, J). TMD-VOO: Traditional Mediterranean Diet enriched with virgin  
678 olive oil; TMD-NUTS: Traditional Mediterranean Diet enriched with nuts. \*:  $P<0.05$ ; \*\*:  $P<0.01$ ;  
679 \*\*\*:  $P<0.001$ .